

# African, Oceanic and Pre-Columbian Art

New York | Monday November 11, 2019 at 3pm

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25485 Lots 1 – 127

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Front cover: Lot 31 Back cover: Lot 62 African Art: Lot 30 Pre-Columbian Art: Lot 58 Oceanic Art: Lot 74



# African, Oceanic and Pre-Columbian Art

## Including property from:

The Graham Beck Collection
The Mark and Carolyn Blackburn Collection
The Marc Franklin Collection
The Richard I.M Kelton Collection
The Allan Stone Collection
and Various Owners



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African Art
Including Property from The Graham Beck Collection





## PROPERTY FROM THE GRAHAM BECK COLLECTION

201

## KUBA COSMETIC BOX, DEMOCRATIC REPUBLIC OF THE CONGO

length 11in (28cm)

## Provenance

André Lefevre, Paris Charles Ratton, Paris Paolo Morigi Collection, Lugano (#398) Sotheby's, Paris, 6 June 2005, Lot 185 Graham Beck Collection, South Africa Thence by descent Of elongated, elliptical form, the box decorated with classic Kuba textile interwoven design on an openwork base, the lid with bands of an interlocking weave design, chevrons around a central honeycomb with a carved hand.

US\$3,000 - 5,000 €2,700 - 4,500





202

## KUBA LIBATION CUP, DEMOCRATIC REPUBLIC OF THE CONGO

height 6 1/2in (16cm)

## Provenance

Ernst Ascher, Paris George F. Keller Collection, New York/Davos/Paris (No. 281) Paolo Morigi Collection, Lugano Sotheby's, Paris, 6 June 2005, Lot 184

US\$1,500 - 2,000 €1,400 - 1,800

203

## KUBA LIBATION CUP, DEMOCRATIC REPUBLIC OF THE CONGO

height 7 1/2in (19cm)

## Provenance

George F. Keller Collection, New York/Davos/Paris (No. 282) Jean Hessel Collection, Paris Paolo Morigi Collection, Lugano Sotheby's, Paris, 6 June 2005, Lot 204

US\$2,000 - 3,000 €1,800 - 2,700



## LUBA SHANKADI KNIFE HANDLE, DEMOCRATIC REPUBLIC OF THE CONGO

height 5 3/4in (14.5cm)

## Provenance

Joseph Herman Collection, London/Suffolk Christie's, Amsterdam, 12 December 2000, Lot 280 Graham Beck Collection, South Africa Thence by descent

US\$4,000 - 6,000 €3,600 - 5,400



## THREE LUBA DIVINING INSTRUMENTS, DEMOCRATIC REPUBLIC OF THE CONGO

katatora heights 4 1/8 - 5in (10.6 - 12cm)

## Provenance

Joseph Herman Collection, London/Suffolk Christie's, Amsterdam, 12 December 2000, Lot 296 Graham Beck Collection, South Africa Thence by descent

[far left] Fagg, William, *Miniature Wood Carvings of Africa*, Adams & Dart, Bath, 1970, cat. 88

US\$3,000 - 5,000 €2,700 - 4,500





## SONGYE SMALL SHIELD WITH A MASK, DEMOCRATIC **REPUBLIC OF THE CONGO**

height 20in (51cm)

## Provenance

Alain Guisson Collection, Brussels Joseph Herman Collection, London/Suffolk Christie's, Amsterdam, 12 December 2000, Lot 283 Graham Beck Collection, South Africa Thence by descent

US\$8,000 - 12,000 €7,200 - 11,000

207

## LEGA MASK, BWAMI SOCIETY, DEMOCRATIC REPUBLIC OF THE CONGO

height 5 1/8in (13cm)

## Provenance

Joseph Herman Collection, London/Suffolk Christie's, Amsterdam, 12 December 2000, Lot 315 (one of two) Graham Beck Collection, South Africa Thence by Descent

US\$2,000 - 3,000 €1,800 - 2,700





208

## PENDE LIBATION CUP, DEMOCRATIC REPUBLIC OF THE CONGO

heights 4 1/2in (11.5cm)

## Provenance

Paolo Morigi Collection, Lugano (No.582) Sotheby's, Paris, 6 June 2005, Lot 182

US\$1,200 - 1,800 €1,100 - 1,600

209

## PENDE JANIFORM LIBATION CUP, **DEMOCRATIC REPUBLIC OF THE** CONGO

height 5in (12.5cm)

## Provenance

Paolo Morigi Collection, Lugano Sotheby's, Paris, 6 June 2005, Lot 180 Graham Beck Collection, South Africa Thence by descent

US\$1,000 - 1,500 €910 - 1,400

210

## PENDE LIBATION CUP, DEMOCRATIC **REPUBLIC OF THE CONGO**

height 4in (10cm)

## Provenance

George F. Keller Collection, New York/Davos/ Paris (No. 271)
Paolo Morigi Collection, Lugano
Sotheby's, Paris, 6 June 2005, Lot 200 Graham Beck Collection, South Africa Thence by descent

US\$800 - 1,200 €720 - 1,100

211 **OVIMBUNDU MORTAR, DEMOCRATIC** REPUBLIC OF THE CONGO

height 8 1/2in (22cm)

## Provenance

Jef Vanderstrate Collection, Lasne/Brussels Joseph Herman Collection, London/Suffolk Christie's, London, 12 December 2000, Graham Beck Collection, South Africa Thence by descent

The head serving as the top with a lip that fits into the torso container, standing on skinny legs with slightly bent knees; incised linear decoration to torso and head; aged, honeybrown glossy patina.

US\$4,000 - 6,000 €3,600 - 5,400





## **FANTE DOLL, GHANA**

akua'ba height 11 3/4in (30cm)

## Provenance

Joseph Herman Collection, London/Suffolk Christie's, Amsterdam, 12 December 2000, Lot 69 Graham Beck Collection, South Africa Thence by descent

US\$1,500 - 2,000 €1,400 - 1,800





## YORUBA EQUESTRIAN GROUP, NIGERIA

height 6 1/4in (16cm)

## Provenance

Joseph Herman Collection, London/Suffolk Christie's, Amsterdam, 12 December 2000, Lot 139 Graham Beck Collection, South Africa Thence by descent

The proportionally-large figure sitting upright and holding the reins; fine dark-brown patina with encrustations and remnants of kaolin in crevices.

US\$4,000 - 6,000 €3,600 - 5,400

214

## **FANG SHOULDER EMBLEM, GABON**

height 7 1/8in (18cm)

## Provenance

Josef Muller Collection, Solothurn/Geneva Christie's, London, 20 March 1979, Lot 166 Joseph Herman Collection, London/Suffolk Christie's, Amsterdam, 12 December 2000, Lot 180 Graham Beck Collection, South Africa Thence by descent

US\$2,000 - 3,000 €1,800 - 2,700



## YORUBA FEMALE FIGURE, NIGERIA

height 15in (37.5cm)

## Provenance

Josef Mueller Collection, Solothurn/Geneva Christie's, London, 20 March 1979, Lot 89 Joseph Herman Collection, London/Suffolk Christie's, Amsterdam, 12 December 2000, Lot 143

Standing upright with an elaborate braided headdress, holding a bowl and dressed in a patterned wrap; rich, glossy dark-brown patina.

US\$2,500 - 3,500 €2,300 - 3,200

216

## MASAI SHIELD, KENYA

height 34 1/4in (87cm)

#### Provenance

Sotheby's, Paris, 6 June 2005, Lot 60 Graham Beck Collection, South Africa Thence by descent

US\$3,000 - 5,000 €2,700 - 4,500





## **GURO HEDDLE PULLEY, CÔTE D'IVOIRE**

height 7 1/4in (18.5cm)

## Provenance

Joseph Herman Collection, London/Suffolk Christie's, London, 22 June 1981, Lot 79 Graham Beck Collection, South Africa Thence by descent

US\$1,500 - 2,000 €1,400 - 1,800 218

## **GURO HEDDLE PULLEY, CÔTE D'IVOIRE**

height 6 1/4in (16cm)

## Provenance

Joseph Herman Collection, London/Suffolk Christie's, Amsterdam, 12 December 2000, Lot 60 Graham Beck Collection, South Africa Thence by descent

US\$2,000 - 3,000 €1,800 - 2,700



## **GURO HEDDLE PULLEY, CÔTE D'IVOIRE**

height 4 1/4in (10.5cm)

## Provenance

Loudmer, Paris, 6 December 1986, Lot 57 A.G. Liotard, Abidjan Paolo Morigi Collection, Lugano (no.547) Sotheby's, Paris, 6 June 2005, Lot 95

US\$1,500 - 2,000 €1,400 - 1,800

## **BAULE HEDDLE PULLEY, CÔTE D'IVOIRE**

height 6 3/4in (17cm)

## Provenance

Robert Duperrier, Paris Paolo Morigi Collection, Lugano Sotheby's, Paris, 6 June 2005, Lot 94 Graham Beck Collection, South Africa Thence by descent

US\$2,000 - 3,000 €1,800 - 2,700



## **BAULE HEDDLE PULLEY, CÔTE D'IVOIRE**

height 7 1/4in (18.5cm)

#### Provenance

Paolo Morigi Collection, Lugano (No. 255) Sotheby's, Paris, 6 June 2005, Lot 85 Graham Beck Collection, South Africa Thence by descent

Carved from hard wood with finely carved details to the face, including the incised, linear coiffure, the delicate, raised scarifications to the temples and over the eyes and the incised goatee below; the eyes seemingly downcast with heavy lids; pierced through behind the head above the neck with raised scarification; fine dark-brown patina.

US\$10,000 - 15,000 €9,100 - 14,000 222

## **BAULE COUPLE, CÔTE D'IVOIRE**

height of each 13 5/8in (34.5cm)

## Provenance

George Keller Collection, New York, Davos and Paris (Inv. G.F.K. 141) Paolo Morigi Collection, Lugano Sotheby's, Paris, 6 June 2005, Lot 91 Graham Beck Collection, South Africa Thence by descent

Each figure standing on a base with enlarged feet and toes; well-defined calves with nobs at the knees, each resting the arms at the waist, sloping shoulders supporting elongated necks and extended facial planes with large, almond-shaped eyes and squared, pursed lips and each wearing striated coiffures, the male's ending with an upper and lower projection in back; each with raised scarifications to the body, the male with additional scarification accentuating the face; the male wears a fiber cordage around the waist, the female with a white beaded necklace; each with dark-brown, heavily encrusted patina.

US\$20,000 - 30,000 €18,000 - 27,000





## **BAULE MASK, CÔTE D'IVOIRE**

height 8in (20cm)

#### Provenance

Joseph Herman Collection, London/Suffolk Christie's, Amsterdam, 12 December 2000, Lot 75 Graham Beck Collection, South Africa Thence by descent

Of diminutive size and finely carved in hard wood, slightly hollowed out in back and pierced around the edges for attachments; the forehead slightly domed and bordered with a three-part coiffure with raised linear lines; pierced through at the eyes and mouth; fine, glossy honey-brown surface.

US\$5,000 - 7,000 €4,500 - 6,300

#### 224

## **GURO/BAULE FEMALE FIGURE, CÔTE D'IVOIRE**

height 23in (58.4cm)

## Provenance

Mario Fantin Collection, Bologne/Florence Paolo Morigi Collection, Lugano (No.432) Sotheby's, Paris, 6 June 2005, Lot 77 Graham Beck Collection, South Africa Thence by descent

Standing upright on slightly bent knees, toes delineated; broad rounded shoulders with arms down at her sides away from the torso with an exaggerated umbilicus and wearing a carved loin cloth wrapped around her waist; the rounded head with a coiffure painted black and with rounded projections; the face with a determined expression with pursed lips, slightly downcast almond-shaped eyes below arching brows and a forehead decorated along the hair line, temples and cheeks with raised and incised scarifications; glossy, light-brown and reddish patina.

US\$6,000 - 8,000 €5,400 - 7,200





## DAN MASK, LIBERIA/CÔTE D'IVOIRE

gunye ge height 9 1/4in (23.5cm)

#### Provenance

Joseph Herman, London/Suffolk Christie's, Amsterdam, 12 December 2000, Lot 32

Graham Beck Collection, South Africa Thence by descent

US\$2,000 - 3,000 €1,800 - 2,700 226

## DAN SPOON, LIBERIA/CÔTE D'IVOIRE

wakemia height 19in (47.5cm)

#### Provenance

Paolo Morigi Collection, Lugano Sotheby's, Paris, 6 June 2005, Lot 111 Graham Beck Collection, South Africa Thence by descent

The handle with a carved head surrounded by stylized arms reaching in a circle above the head; fine dark-brown patina with encrustations.

US\$8,000 - 12,000 €7,200 - 11,000 227

## DAN LADLE, LIBERIA/CÔTE D'IVOIRE

wakemia height 19 1/2in (49cm)

#### Provenance

Graham Beck Collection, South Africa Thence by descent

The reverse decorated with incised chevron design; dark-brown, glossy patina with encrustations to the handle.

US\$1,000 - 1,500 €910 - 1,400





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## **PROPERTY FROM VARIOUS OWNERS**

228

## SONGO EQUESTRIAN GROUP, ANGOLA

height 5 3/4in (14.5cm)

## Provenance

William Brill Collection, New York (#26 written in black on base) Private Collection, New York

An intimate sculpture, the figure with delicate facial features riding bareback with diminutive legs finely carved resting on the back of the horse, the horse striding forward on a block base; fine dark-brown patina with encrustations.

US\$5,000 - 7,000 €4,500 - 6,300



## SUPERB CHOKWE COMB, ANGOLA

height 4 1/4in (11.7cm)

## Provenance

Gustave and Franyo Schindler, New York American Private Collection, acquired from the above in 1992

This rare and refined comb is intricately carved with a splayed female figure, skillfully balanced in an openwork frame with two birds facing inwards on top, the frame decorated around the rim with metal tacks which enhance the quality of the work; exceptional honey-brown patina.

US\$15,000 - 20,000 €14,000 - 18,000



## KONGO-YOMBE POWER FIGURE, DEMOCRATIC REPUBLIC OF THE CONGO

nkisi n'kondi height 36in (91.4cm)

## Provenance

Allan Stone, New York Previous provenance presumably: Ralph Nash, London Merton D. Simpson, New York, sold to Allan Stone on October 10, 1967

## Exhibited

The Bruce Museum, Greenwich, Connecticut, Power Incarnate: Allan Stone's Collection of Sculpture from the Congo, 14 May - 4 September 2011 S2 Gallery, Sotheby's New York, Hunters and Gatherers: The Art of Assemblage, 18 November - 16 December 2011

## **Published**

Dumouchelle, Kevin D., Power Incarnate: Allan Stone's Collection of Sculpture from the Congo, Greenwich, Connecticut, 2011, p. 25, cat. 1



Wyatt MacGaffey notes, "The most powerful, most spectacular and now (in the art world) the best-known Kongo *minkisi* belonged to the class called *nkondi*, a name which means 'hunter.' The business of the *nkondi* was to identify and hunt down unknown wrongdoers, such as thieves and those who were believed to have caused sickness and death among their neighbours by occult means; *nkondi* could punish those who swore false oaths and villages that broke treaties entered into under their supervision.[...]

[...] To provoke nkondi, gunpowder might be exploded in front of the container, insults might be hurled at it, but above all, in the case of a wooden figure, nails, blades and other hardware were driven into it. Angered by these injuries, nkondi would mysteriously fly to the attack, inflicting on the wrong-doer similar harm. A few days later, if anyone in the village were to fall ill with pains in his chest, it would be said that the nkondi had found him out and punished him. [...] As time passed, the nkisi visibly accumulated the evidence of successful cursing, adding greatly to its fearsome appearance." (Phillips, Tom, ed., Africa - The Art of a Continent, Royal Academy of Arts, London, 1995, p. 246)

This superb Kongo power figure exhibits the appropriate aggressive features necessary to identify and hunt down wrong-doers including the staring eyes (the right still with remnants of glass to make them more vivid); the gaping mouth with projected tongue; the upward and out-thrust chin; deliberately massive shoulders and modeling of the neck to intensify the sense of energy and power; the right arm raised up with hand in position to hold the spear he would have been holding; the enlarged phallus; and further charged with a power device filled with medicines centered on the abdomen with four ghastly boar's tusks inserted and pointed in four directions.

US\$150,000 - 200,000 €140,000 - 180,000



## SONGYE COMMUNITY POWER FIGURE, DEMOCRATIC REPUBLIC OF THE CONGO

height 35 1/2in (90.2cm)

#### Provenance

Merton D. Simpson, New York Allan Stone Collection, New York, acquired from the above in 1983

John Mack notes, "The term by which the Songye designate their magical figures--nkisi (pl. mankisi)--is encountered elsewhere in widely dispersed parts of central Africa. [...] Nkisi is a key word deeply embedded in many different Bantu languages. Taken as a whole it becomes virtually untranslatable by reason of the very diversity of objects, substances and activities that it serves to designate. What all the various uses have in common, however, is that they serve to comprise an assemblage of objects and entities whose efficacy and capacity to influence the affairs of the living depend upon some external agency, usually identified with spirits or with ancestors.

Among the Songye it is only magical figures that are identified as *mankisi*. [...] There are two kinds of *nkisi*. One, which is much smaller in scale (and by far the more numerous), is personal in application and ownership: restricted to individuals or, at most, to households or nuclear families. The examples [as illustrated here], however, are much larger and, in their deliberate attempt to embody strength and power, more formidable in conception. They function on behalf of complete communities, and occasionally--where their powers are widely extolled--they may serve a more extensive constituency.

The efficacy of *mankisi* has several sources. Most important are the many different types of substance and paraphernalia applied to the figures. Most of these are regarded as inherently powerful or aggressive--substances such as parts of lions, leopards, snakes, bees and birds of prey; the sexual organs of crocodiles and earth from the tracks of elephants, human elements taken from such exceptional categories as persons as suicides, sorcerers, epileptics or twins. Items of regalia may also festoon the figure, recalling the typical attributes of chiefly dress or of the hunter. The figures themselves are always male and have a combination of characteristics that constitute a generalised reference to ancestors. [...]

Communal *mankisi* are used to achieve benign ends. The need of their magical intervention in human affairs may be signalled by such phenomena as persistent dreams of imminent danger among those charged with their care: premonitions expressed in visions of lightning and fire, or deep ravines. [...] Although dedicated to ensuring the health and welfare of the community, these figures are not exponent of the bedside manner but confrontational objects, objects with attitude." (Phillips, Tom ed., *Africa - The Art of a Continent*, Royal Academy of Arts, London, 1995, p. 283-284)

This superb *nkisi* projects tremendous presence through both the powerful physical features as well as the expressive and engaging facial features: the massive round head which would have supported a large horn in the top as evidenced by the size of the hole; the large cylindrical neck which supports the head and the broad, rounded shoulders. The figure is charged with magical elements including the bundle inserted into the abdomen, the goat horn (*Capra hircus*) hanging from his belt and the red scarf worn around the neck. The large and powerful hands rest against the abdomen in front. The engaging expression on the face, with his mouth open as if in a trance, is further enhanced with applied sheet metal and tacks, cowrie-shell eyes and a series of nails inserted into the forehead.

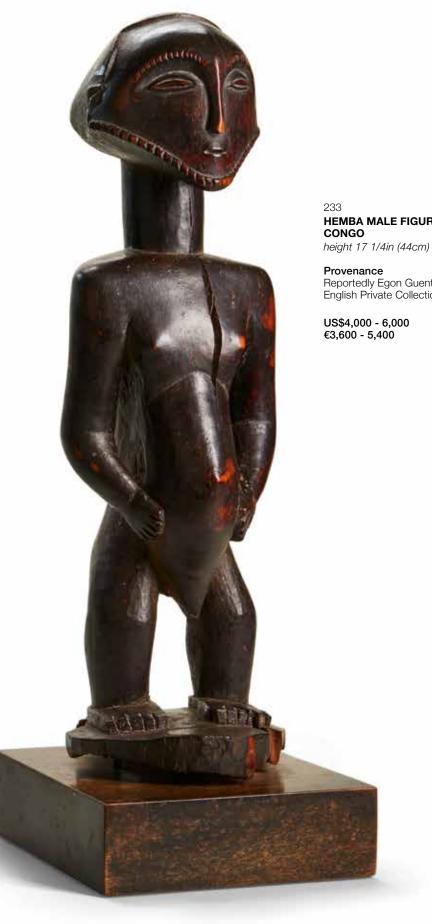
U\$\$40,000 - 60,000 €36,000 - 54,000

232 No Lot









## HEMBA MALE FIGURE, DEMOCRATIC REPUBLIC OF THE CONGO

**Provenance**Reportedly Egon Guenther Collection, Johannesburg
English Private Collection



## GROUP OF FIVE YAKA COMBS, DEMOCRATIC REPUBLIC OF **THE CONGO**

visanunu heights 6 - 8 1/4in (15 - 21cm)

## Provenance

Stephen Chauvet, Paris Charles Ratton, Paris Marc and Denyse Ginzberg Collection, New York Lance and Roberta Entwistle, London and Paris American Private Collection, acquired from the above in 1992

Vogel, Susan, ed., Perspectives: Angles on Africa, The Museum of African Art, New York, 1987, p. 108

#### Exhibited

Perspectives: Angles on African Art

The Virginia Museum of Fine Art, Richmond, 21 February -26 April, 1987

The Center for African Art, New York, 18 September 1987 -3 January 1988

The Birmingham Museum of Art, Alabama, 31 January -27 March 1988

Kristen Windmuller-Luna notes, "Combs such as this example were worn by northern Yaka dignitaries as hair ornaments prior to 1930. Many were made in the area of Popokaba, but as they were traded extensively, some were collected as far as the court of the kyambvu at Kasongo Lunda. While the Yaka are matrilineal, their leadership is patrilineal: it is arranged through a strict hierarchy of paramount, regional, and village chiefs, as well as ritual specialists and diviners. Correspondingly, the art of the Yaka is also hierarchical, with much of it reserved for specific religious or chiefly users. The iconography of these combs reinforces the societal role of their wearers through the depiction of the multitude of coiffures and headgear used to distinguish Yaka dignitaries (see also 2011.11.2-.7). Certain historical headgear and hairstyles were depicted on these combs, even though those styles were frequently updated. Carvers likely elected to represent these historic fashions to suggest a link between the wearer and revered individuals of earlier generations. While little is known about the function of these combs, Arthur Bourgeois has suggested that they implied the power of their male wearer. (Kakungu among the Yaka and Suku, African Arts 14, no. 1, 1980, 46)." (The Metropolitan Museum of Art, WEB, 2016)

US\$12,000 - 15,000 €11,000 - 14,000



## NINE MBUTI PYGMY BARK CLOTHS, ITURI RAINFOREST, DEMOCRATIC REPUBLIC OF THE CONGO

15 by 25 1/2in (38 by 64.8cm); 14 1/2 by 17in (35.5 by 43.2cm); 17 3/8 by 18 1/4in (43.8 by 46.4cm); 19 by 27 1/4in (48.3 by 69.2cm); 19 by 29 1/4in (48.3 by 74.3cm); 13 1/2 by 31 1/4in (34.3 by 79.4cm); 21 1/2 by 35 1/4in (54.6 by 89.5cm); 19 by 23 1/2in (48.3 by 59.7cm); 19 1/2 by 35in (49.5 by 89cm)

US\$2,000 - 3,000 €1,800 - 2,700

## YOMBE PRESTIGE STAFF, DEMOCRATIC REPUBLIC OF THE CONGO

muwala height 40in (100cm)

## Provenance

Patricia Withofs, London Lance and Roberta Entwistle, London and Paris Marc Franklin Collection, Oregon

Finely carved with a series of human figures vertically mounted on each other, including a seated female figure with her legs crossed below a kneeling male figure and topped with a seated couple; fine aged black patina with encrustations.

US\$12,000 - 18,000 €11,000 - 16,000



## KOTA OBAMBA RELIQUARY FIGURE, GABON

mbulu ngulu height 24 3/4in (63cm)

## Provenance

Eliot Elifson Collection, New York Edith Gregor Halpert Collection, New York Nathaly Baum Collection, Washington, D.C. Private Collection, New York

## Published

Robbins, Warren M., *African Art in American Collections*, Frederick A. Praeger, New York, 1966, p. 1982, fig. 237

## **Exhibited**

Edith Gregor Halpert Collection, Corcoran Gallery, Washington, D.C., April - May 1969
African Art in American Collection, Museum of African Art,
Washington, D.C., May 1972 - March 1973
Elifson Memorial Exhibition, Museum of African Art, Washington,
D.C., May 1973 - February 1974

Carved overall from one piece of wood, the upper section flat above a lozenge base; the front applied with hammered and molded copper and brass from the upper section of the lozenge and above; the oval and concave facial plane with crescent eyes, triangular nose and diminutive mouth, topped with a crescent and large rounded flanges on the sides, each with a trapezoidal hanging flange; the metalwork on the neck with a diamond patter between three bands above and below, the upper lozenge base applied with alternating striated triangles; the base and rear with a rich dark-brown patina with encrustations and wear indicative of significant age; attached with screws to the base at the bottom section of the lozenge in back.

US\$30,000 - 50,000 €27,000 - 45,000





## **FANG HAIRPIN, GABON**

height 7in (17.5cm)

### Provenance

German Private Collection

Sitting upright on two tines with long cylindrical torso, muscular chest and arms with hands meeting and resting on the chest; wearing a tripartite coiffure with the central sagittal element running down the back; glossy light-brown patina.

Cf. Zink, Günter, Die afrikanische Kunst und die Gemälde von Günter Zink, Germany, 1990, p. 61

US\$15,000 - 20,000 €14,000 - 18,000

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## IDOMA AKWEYA SHRINE FIGURE, CROSS RIVER AREA, SOUTHEAST NIGERIA

anjenu height 20 3/4in (53cm)

## Provenance

Yves Develon Collection, Ramatuelle/Paris Dr. Guy Onghena Collection, Ghent Calmels Chambre Cohen, Paris, 23 June 1997, Lot 33 Roberta and Lance Entwistle, London and Paris Marc Franklin Collection, Oregon

Standing powerfully with an engaging expression, the legs spread and slightly bent at the knees, rounded buttock below a V-shaped upper torso with protruding umbilicus, the muscular arms slightly forward and held away from the body with squared shoulders supporting a broad cylindrical neck and spherical head; the wide face with almond-shaped, slightly downcast eyes, naturalistic nose [tip missing] above an open, grimacing mouth revealing two rows of inset teeth; significant erosion throughout the surface and particularly at the base; dark-brown patina with kaolin highlights.

US\$12,000 - 18,000 €11,000 - 16,000





## **FANTE COMB, GHANA**

height 11 1/4in (28.5cm)

## Provenance

Gustave and Franyo Schindler, New York American Private Collection, acquired from the above in 1992

The triangular head with rounded edges and an openwork coiffure raised above; the facial plane with faint scarification on each cheek, downcast eyebrows above minute, coffee-bean eyes and a long nose, resting on a columnar neck with multiple rings; the "torso" in squared form with inverted, arching shoulders, pointed breasts and decorated with an incised triangular pattern; fine dark-brown patina with encrustations and ritual patination; collector's label on reverse "188.66/1321."

US\$4,000 - 6,000 €3,600 - 5,400

241 **AKAN FIGURE, GHANA** height 9in (23cm)

Provenance Private Collection, New York

The figure twisting and arching backward with face looking upwards in a transcendental state; encrusted dark-brown patina.

US\$3,500 - 4,500 €3,200 - 4,100





## DAN CEREMONIAL SPOON, LIBERIA/ CÔTE D'IVOIRE

length 24in (61cm)

## Provenance

Boris Mirski Gallery, Boston Edith Gregor Halpert Collection, New York Private Collection, New York

## Exhibited

African Art in Washington Collections, Frederick Douglass Institute for African and African-American Studies Museum of African Art, University of Rochester, New York, May 1972

Of large proportions with a finely carved rams head as the handle; dark-brown patina with encrustations on the ram's head.

US\$2,000 - 3,000 €1,800 - 2,700

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## DAN MASK, LIBERIA/CÔTE D'IVOIRE

height of mask 9in (23cm); overall height 15in (38cm)

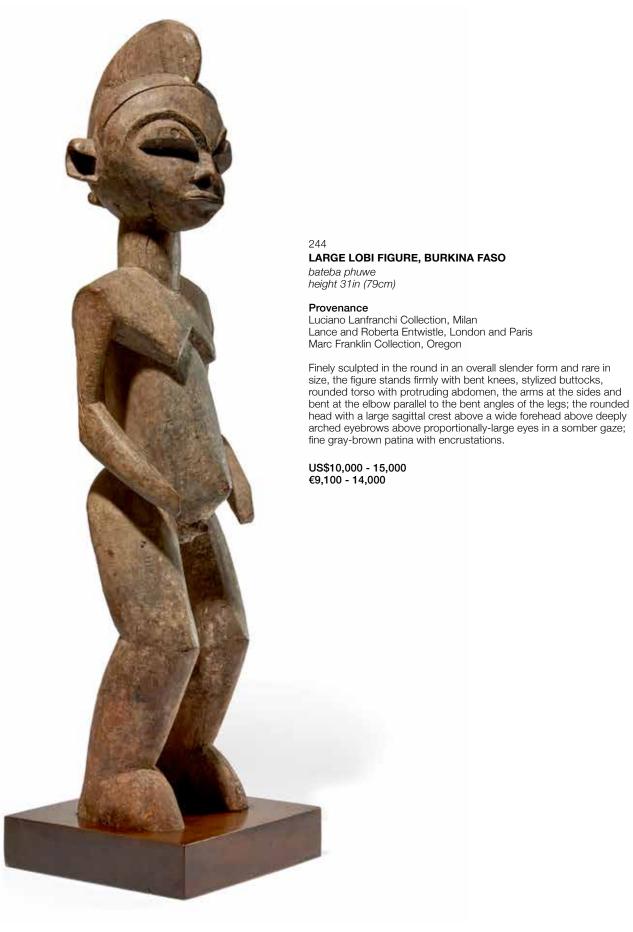
## Provenance

Charles D. Miller, III, St. James, New York, acquired in the 1970s near the Liberia/Côte d'Ivoire boarder

Of oval form with large circular pierced eyes, projecting nose and full lips; pierced through at the mouth and three holes above the upper lip; a nail inserted into the chin to activate the mask's power; the front surface decorated with red felt from French uniforms; a large, domed fiber headdress with three tassels attached to the back rim.

US\$4,000 - 6,000 €3,600 - 5,400







## **LOBI FEMALE FIGURE, BURKINA FASO**

bateba height 17 1/4in (44cm)

## Provenance

Reportedly Sotheby's, New York, late 1980s Private Collection, New York

It has been suggested that the type of Lobi figures with one arm raised are carved to protect the owner from witchcraft or sorcery. Aesthetically, the present work is an example of the ingenuity and creativity of the sculptor who created it. Carved in hard wood in elongated proportions with legs splayed and left arm held out almost 90-degrees from the long cylindrical torso; the elliptically shaped head with flat facial plane with downcast eyes with heavy lids and a puckered mouth; glossy dark-brown patina.

US\$7,000 - 9,000 €6,300 - 8,200



## MOSSI FLUTE, BURKINA-FASO

length 15 1/8in (38.5cm)

## Provenance

Private Collection, New York

Carved in hard wood in the highly inventive shape of an arm with incised zigzag design on the outer side; dark-brown, glossy patina with encrustations.

US\$3,000 - 5,000 €2,700 - 4,500

2/17

## **BOBO MASK, BURKINA FASO**

nwwenka height 61in (55cm)

## Provenance

Christie's, New York, 20 November 1997, Lot 68 English Private Collection

Of large, elongated proportions with horns reaching high above the domed forehead with a stylized bird element attached, a central serrated crest on the top and continuing down the face as a long snout; the hard surface with encrusted patina decorated on the outside with red, white and blue pigments in geometric patterns.

US\$8,000 - 12,000 €7,200 - 11,000





## RARE AND EXCEPTIONAL DOGON ADZE, MALI

height 25 1/8in (63.8cm)

### Provenance

Gaston de Havenon Collection, New York Marc and Denyse Ginzberg Collection, New York Lance and Roberta Entwistle, London and Paris American Private Collection, acquired from the above in 1992

## Published

Robbins, Warren M., African Art - The de Havenon Collection, The Museum of African Art, Smithsonian Institution, Washington, D.C.,

African Arts, 1972, Vol VI, No. 3, p. 47 (Advertisement) Leloup, Hélène, *Dogon*, Musée du Quai Branly, Paris, 2011, p. 382, no. 157

## Exhibited

African Art - The de Havenon Collection, Museum of African Art, Smithsonian Institution, Washington, D.C., May 1971 The Great Sculpture of the Dogon, Gaston de Havenon, New York, 3 April - 5 May 1972

Dogon, Musée du Quai Branly, Paris, 4 April - 24 July 2011 Kunst und Ausstellungshalle, Bonn, 14 October 2011 - 22 January 2012

Kate Ezra notes, 'Dogon ritual specialists identified as laggam by Desplagnes sometimes carry an object described as an "axe-club with a sculpted handle" (Desplagnes, Louis, Le Plateau central nigéien, Paris, 1907, 333, figs. 164, 165). [...] The title laggam does not appear in recent literature about the Dogon, but Desplagnes' early account of these ritual specialists allows them to be tentatively identified as binukedine, the priests of binu ancestors. According to Desplagnes, laggam are concerned with totemic animals and are identified by the dugo, or stone pendants, which they must find while in a state of trance in order to be chosen for their position (1907, pp. 332-36). The binu often contact their descendants through the intermediary of an animal that then becomes the totem of that family. The binu also provide a dugo to their descendants, which the binukendine must locate while in trance before he can accede to his title. L-shaped wooden dumolo staffs are found in great number in binu shrines. If the identification of the title laggam with the priest of binu is correct, it is possible that elaborate ceremonial adzes [like the present example], used as staffs for emblems, can also be associated with the worship of these important ancestral spirits.' (Art of the Dogon - Selections from the Lester Wunderman Collection, The Metropolitan Museum of Art, New York, 1988, p. 95)

This rare and elegant adze is a remarkable work, superbly sculpted with a delicately carved seated couple surmounted vertically by a similarly carved figure; the figure of a snake [now with missing head] winds around the lower half of the shaft; exquisite dark-brown patina with encrusted sacrificial materials.

US\$60,000 - 80,000 €54,000 - 72,000





## **BAMANA BOVINE FIGURE, MALI**

boli

height 9 1/2in (24cm); length 13 1/2in (34.3cm)

## Provenance

French Private Collection

One of the more enigmatic works from Africa, a *boli* is one of the more challenging works for the imagination with its impressive composition, volumes and mysterious physical presence: dead yet alive, stagnant yet in motion, minimalistic yet complex. There is a spirituality to its presence and it is understandable why the early surrealist and avant-garde artists were drawn to them.

US\$6,000 - 8,000 €5,400 - 7,200



## **BAMANA HOBBY HORSE, MALI**

korèdugaso length 11 3/4in (30cm)

## Provenance

Private Collection, New York

Cf. Colleyn, Jean-Paul, ed., Bamana - The Art of Existence in Mali, Museum for African Art, New York, 2001, cat. 115-117

Finely carved in hard wood with ears projecting and curving backwards on the same line of the jaw line, the artist has left the mouth slightly open below pierced nostrils; the eyes accentuated with inset metal and divided by a metalwork application to top along the nose to enhance the overall quality; hollowed at the neck and pierced through for attachment; fine, aged dark-brown patina with encrustations.

US\$7,000 - 10,000 €6,300 - 9,100



251 DOGON IRON WARRIOR, MALI height 9in (23cm)

**Provenance**Private Collection, New York

Standing upright on large flat feet, holding a spear in both hands at the front, gazing slightly upwards; heavily encrusted patina.

US\$3,500 - 4,500 €3,200 - 4,100



## TWO BAMANA IRON STAFF FINIALS, MALI

heights 18 1/4 and 18 3/4in (46.5 and 47.5cm)

## Provenance

European Private Collection Charles D. Miller, III, St. James, New York

US\$2,000 - 3,000 €1,800 - 2,700



# ORISHA OKO RITUAL STAFF, NIGERIA length 60 1/2in (154cm)

Provenance European Private Collection

Cf. Ginzberg, Marc, African Forms, Skira, Italy, 2000, p. 195

US\$1,000 - 1,500 €910 - 1,400



254 W

## LARGE SENUFO BED, CÔTE D'IVOIRE

length 91 1/2in (232.5cm)

Finely carved from one piece of hard wood, this example is very old and cannot be compared with other modern versions.

US\$5,000 - 7,000 €4,500 - 6,300



# **Pre-Columbian Art**





256

## RARE PAIR OF VERACRUZ EAR FLARES, EARLY CLASSIC PERIOD, CA. A.D. 300-600

heights 4 1/2in (11.5cm)

## Provenance

Judy Nash, New York
D. Daniel Michel, Chicago (#61:073 - A & B), acquired in 1961
Ancient Art of the New World, New York
American Private Collection, acquired from the above in 1991

Each carved in brown ceramic depicting crouching jaguars with snarled expression; one facing left, the other right. Throughout Mesoamerica, the jaguar, both a feared and revered animal, was associated with fire, warfare, and the nighttime journey of the sun. The Jaguar of the Underworld deity was often associated with rulers and, thus, often used in iconography associated with kingship.

US\$9,000 - 12,000 €8,200 - 11,000 257

## VERACRUZ STANDING FEMALE FIGURE, NOPILOA, LATE CLASSIC, CA. A.D. 550-950

height 8in (20.3cm)

### Provenance

D. Daniel Michel, Chicago (#61.065), acquired in 1961 Ancient Art of the New World, New York American Private Collection, acquired from the above in 1991

## Published

McNear, Everett, *High Culture in the Americas Before 1500*, The Arts Club of Chicago, Chicago, 1982, p. 33, fig. 66 The Art Institute of Chicago, *Chicago Collectors*, Chicago, 1963, p. 11

### Exhibited

High Culture in the Americas Before 1500, The Arts Club of Chicago, Chicago, 15 November - 31 December 1982
The Art Institute of Chicago, Chicago Collectors, Chicago, 20
September - 27 October, 1963

Finely molded out of eggshell-thin ceramic, the face with almondshaped eyes, parted lips showing teeth and a delicate, raised scarification in a dotted line circling around the broad cheek surfaces, wearing a tunic with stepped pattern and carrying a basket in her right arm containing a bundle.

US\$20,000 - 30,000 €18,000 - 27,000



## VERACRUZ HOWLING PACA, LATE CLASSIC, CA. A.D. 600-900

height 16 1/4in (41.3cm)

## Provenance

Dr. & Mrs. George Kennedy, Los Angeles, acquired prior to 1968 Deborah Kennedy, Portland Ancient Art of the New World, New York American Private Collection, acquired from the above in 1996

### Published

Von Winning; Hasso, *Pre-Columbian Art of Mexico and Central America*, Abrams, 1968, fig. 250 *Ancient Art of the Veracruz*, Ethnic Arts Council of Los Angeles, Los Angeles, 1971, fig. 48

## Exhibited

Ancient Art of Veracruz, Los Angeles County Museum of Art, Los Angeles, 23 February - 13 June 1971

A large rodent that lives in the dense, moist undergrowth of the rain forest, the paca produces a litter in early spring and, thus, may have been a fertility symbol. Large figures such as the present work illustrate that the artist understood the limitations and possibilities of the material they were working with--the clay walls are thin and the limbs and head are skillfully attached with smooth transitions. The paca sits on all fours, howling upwards with its mouth ajar revealing fangs expressing enormous tension.

US\$20,000 - 30,000 €18,000 - 27,000





COSTA RICAN WARRIOR WITH TROPHY HEAD, CENTRAL HIGHLANDS/ATLANTIC WATERSHED ZONE, CA. A.D. 1000 - 1500

height 17in (43cm)

## Provenance

Earl Stendahl Gallery, Hollywood, California (No.2398 on back left foot) Albert Beich, Los Angeles, acquired from the above on 14 May 1955 Thence by descent

Standing upright on flat feet, the knees defined by small nobs, wearing ringed anklets and belt and a necklace with a round pendant in front and a hammer-form element on the back, holding the trophy head in his left hand, the right arm severed below the armpit; the spherical head with coffee-bean eyes, pursed lips and a pointed chin.

US\$6,000 - 8,000 €5,400 - 7,200

260

MONUMENTAL VERACRUZ 'XANTILE', CLASSIC, CA. A.D. 700-900

height 25 1/4in (64cm)

## Provenance

Sotheby's, Parke-Bernet, New York, 11 April 1970, Lot 26 Saul Tuttmann Collection, New York Acquired from the above by the present owner

Possibly representing Xochipilli-Macuilxochitl, patron deity of flowers, dance, love and fertile summer crops, the large figure is seated and rests his hands on bent knees, wearing elaborate jewelry including a crown, large ear spools, bangles around the calves and anklets.

US\$7,000 - 9,000 €6,300 - 8,200





## VERACRUZ, LOS TUXTLAS, POLYCHROME PLATE WITH DEER MOTIF, EARLY POST-CLASSIC, CA. A.D. 900-1200

height 2 1/2in (6.4cm); diameter 11 1/4in (28.6cm)

## Provenance

Robert and Marianne Huber, Dixon, Illinois D. Daniel Michel Collection, Chicago (#69-153) Ancient Art of the New World, New York American Private Collection, acquired in 1991

## **Published**

Wardwell, Allen, *Notes on the Los Tuxtlas Style*, Art Institute of Chicago Museum Studies, Vol. 7, 1972, figs 11, 12 and 15D

Wardwell notes (Ibid., p. 1) "The pre-Hispanic art of Mexico's Gulf Zone, which is today occupied by the state of Veracruz, is flamboyant, free, and curvilinear in style. In this regard it bears some relationship to the art of the neighboring Maya, but it is distinctive for it extroverted nature, and is clearly recognizable as an expression of its own."

Of the present work, Wardwell notes (Ibid., p. 79), "A unique design is shown on the dish from the Michel collection in Chicago. An animal is painted standing in profile, looking backwards with the now familiar plume forms added at the end of the nose and extending from the tip of the ear. Underneath the body of the animal is a large space filler in the form of a motif with two scrolls at the ends and decorated with designs formed of spots in circular forms. The mouth of the animal is opened, showing two teeth and an extended tongue and the neck is slender. In all likelihood, the animal represented is a deer."

US\$6,000 - 8,000 €5,400 - 7,200





## VICUS OWL STIRRUP SPOUT VESSEL, CA. A.D. 400-600

height 8 1/2in (21cm)

**Provenance** André Emmerich Inc., New York Herbert Weitman Collection, St. Louis, acquired from the above in

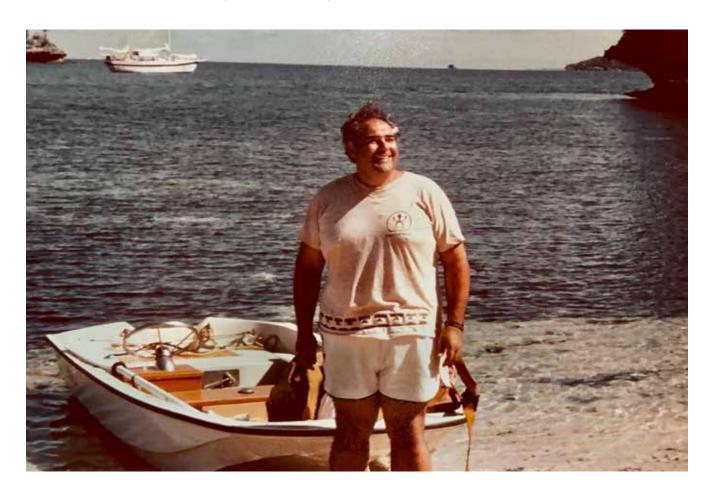
Thence by descent

Standing alert with ears perched, the ears, eyes and curved beak accented with cream paint; the feathers and tail decorated with linear cream paint; the rear spout elegantly tapering in conical form.

US\$4,000 - 6,000 €3,600 - 5,400



## Richard I.M Kelton (1929-2019)



"You know everything I collect is about water"

Those of us lucky enough to have spent hours with Richard Kelton in his maze of interconnected apartments in Marina del Rey, California were taken on a voyage—centered on the Pacific—with many stops and covering swaths of time. It always was an immemorial journey—one without an end, and although he was the most genial, knowledgeable, and expert guide, each of us suspected that each such trip was equally a voyage for him as for his guest.

Richard would always gauge his visitors—their curiosity, their sense of ownership of their "field," and their attention span. If one passed muster in one or all of those categories, a door would open into another apartment in what would become, if he or his visitor wished, and entire afternoon or evening of travel—through time and through space, through one apartment and then the next and the next until each of us realized that we were in what was a warren of water—of sea voyages and the various encounters of others they produced.

We would be with Captain Cook—whether in New Zealand, Tahiti or Hawaii. And the sheer adventure of the collection of objects, ephemera, books, prints, etc. brought alive a series of encounters between a group of errant Englishmen—in the main—and the lands that they did nothing to discover—that had happened centuries

before—but brought into global consciousness. Or we could discuss Bougainville or the Germans who spent so much time in the Marquesas just after Gauguin's death. Always, as soon as we were accustomed to the port in which we had landed, we were back on the ship and in the world of water which is the Pacific—a continent of water that obsessed Richard Kelton from his undergraduate days at Stanford through the winters of Law School and Yale and then throughout his later life.

Richard never flagged and, one sensed, never repeated himself. There was so much to talk about that each of his companionable excursions was different than the last. How we will all miss him, his deep knowledge, his sometimes highly speculative, but always challenging theories, his tenacity, his love for the worlds he discovered through collecting. He is no doubt on another voyage now—more like the Australian Aboriginal songlines, one suspects, but we can no longer follow him.

Richard Brettel Founding Director The Edith O'Donnell Institute of Art History at the University of Texas at Dallas



## PROPERTY FROM THE RICHARD I.M. KELTON COLLECTION

263

# FOUR SMALL FIGURES AND A MINIATURE MASK, PAPUA NEW GUINEA

height of figures: 7 1/2 to 11 1/2in (19 to 29.2cm) height of mask: 5in (12cm)

Richard I.M. Kelton Collection, Marina del Rey, California

US\$1,000 - 1,500 €910 - 1,400



# TWO SPEARPOINTS, ADMIRALTY ISLANDS, PAPUA NEW GUINEA

lengths 16 1/4 and 17in (41 and 43cm)

#### Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

One carved with a full figure in the center with a finely woven fiber and beaded binding above and inset with two stingray (*Dasyatis fluviorum*) stinging spines on top; the other with a squared face and finely incised mid-section above a finely woven fiber binding.

US\$1,500 - 2,000 €1,400 - 1,800

265

FIVE DAGGERS, ADMIRALTY ISLANDS, PAPUA NEW GUINEA lengths 10 to 16in (25.5 to 40.5cm)

# Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

Each chiseled obsidian blade inserted into a wood handle with natural pigment decoration distinctive to the Admiralty Islands.

US\$3,000 - 5,000 €2,700 - 4,500





# HOUSE-FORM FISH TRAP, MARSHALL ISLANDS, FEDERATED STATES OF MICRONESIA $\,$

height 6in (15cm); length 13in (33cm)

# Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

US\$1,500 - 2,000 €1,400 - 1,800 267

# NINE MISCELLANEOUS OCEANIC FISH HOOKS

lengths 1 1/2 to 4 1/2in (3 to 11.5cm)

#### Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

Composed of wood, shell and fiber and comprised of [top down, left to right in photo] four Hawaiian, two Maori, one Gilbert Islands and two Solomon Islands

US\$2,000 - 3,000 €1,800 - 2,700









# **CANOE PROW FIGUREHEAD, SOLOMON ISLANDS**

nguzu nguzu height 6 7/8in (17.5cm)

### Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

Carved with a rounded head, large circular ears, a long nose above an open mouth revealing upper and lower teeth, the arms reaching forward and holding a head below the chin; adorned with pearl shell (*Meleagrina margaritifera*) inlay in the eyes, ears and the incised decorative motifs on the face (some missing), the left pupil inlaid with a blue seed, the left with a brown seed, a ridge to the back pierced with three holes for attachment to the canoe; varied dark brown patina with encrustations.

US\$6,000 - 9,000 €5,400 - 8,200

# KANAK POST FIGURE, NEW CALEDONIA

height 25 1/4in (64cm)

#### Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

Cf. Newton, Douglas (Ed.), Arts of the South Seas - Island Southeast Asia, Melanesia, Polynesia, Micronesia - The Collections of the Museé Barbier-Mueller, Prestel, New York, 1999, fig. 11, (Inv. 4701).

According to Roger Boulay (Ibid., p. 304), "In the past, these human figures crowning posts originally driven into the ground were sometimes mistaken for ridgepole finials. A similar piece, in the Museé d'Aquitaine, Bordeaux, was described by its collector (1877) as being located near the entrance door to a chief's house."

In the present work, the full figure with distinctive Kanak features-rounded head, enhanced chest and bulbous legs--stands balanced on the tip of a short post; fine dark, reddish-brown patina.

US\$8,000 - 12,000 €7,200 - 11,000





#### **HEADREST, RENNEL ISLANDS**

unguna length 15 1/2in (39.5cm)

#### Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

Exquisitely carved in tripod form in hard wood, the two parts with a two-leg structure finely bound with fiber sennit to the headrest section with a single foot with finely woven sennit fiber around the base; the upper structure with rounded top and flat underside with a finely carved central ridge; fine light brown patina showing much wear; collection labels "29" and "K84.01" on underside.

US\$3,000 - 5,000 €2,700 - 4,500 273

#### **DOME HEADED CLUB, FIJI ISLANDS**

bulibuli length 40in (101cm)

#### Provenance

James T. Hooper Collection, Arundel (No.866) Christie's, London, 21 June 1979, Lot 101 Richard I.M. Kelton Collection, Marina del Rey, California

The head with a distinctive pattern of flattened projections; the rounded shaft highlighted overall with finely incised zigzag design; the grip with even finer incisions and bound with finely woven fiber, now frayed in some areas; fine unpolished reddish-brown patina with traces of red pigmentation around the head.

US\$8,000 - 12,000 €7,200 - 11,000









# PRIEST'S OR CHIEF'S CLUB, FIJI **ISLANDS**

gugu or siriti length 44in (111.8cm)

#### Provenance

Taylor A. Dale, Santa Fe Richard I.M. Kelton Collection, Marina del Rey, California, acquired from the above 10 January 1989

Clunie notes, "the head of the siriti or gugu incorporated a stylised design of the fish from which is name is drawn." (Clunie, Furgus, Yalo i Viti - Shades of Viti: A Fijian Museum Catalogue, Fiji Museum, 1986, p. 184

Cf. Ibid, fig. 185

Superbly carved in hard wood, most likely without the use of metal tools, the cylindrical shaft with a grip enhanced with incised zigzag design, gradually curving and becoming a broad, flat head, intricately carved throughout with three raised circular points, a central raised L-shaped platform divided into rectangular segments, each with varying zigzag and cross-hatched designs, all among a checkerboard background; exceptional dark reddish-brown patina with wear indicative of significant age and use.

US\$18,000 - 22,000 €16,000 - 20,000

275

# **ADZE, FIJI ISLANDS**

length 11 1/4in (29cm)

### Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

US\$1,000 - 1,500 €910 - 1,400



# **CLUB, TONGAN ISLANDS**

apa'apai length 46in (116.5cm)

#### Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

Carved in hard wood, the columnar shaft with a slightly flared butt and gradually becoming flat towards the flat top with five groups of transverse ridges; original dark-brown patina showing wear.

US\$3,000 - 5,000 €2,700 - 4,500

277

# **CLUB, TONGAN ISLANDS**

apa'apai length 52 3/4in (134cm)

#### Provenance

Christie's, London, 4 March 1979, Lot 97 Richard I.M. Kelton Collection, Marina del Rey, California

Carved from hard wood, the rounded diamond-form shaft gradually flattening towards the rounded top with a scalloped surface on each side and four groups of transverse ridges; decorated throughout with very fine and faint incised geometric patterns; glossy dark-brown patina with evidence of significant age with an old loss to the butt.

US\$3,000 - 5,000 €2,700 - 4,500

278

### **CLUB, SAMOA ISLANDS**

length 42in (107cm)

#### Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

US\$600 - 900 €540 - 820



( COSTO)



# **GAME STONE, HAWAIIAN ISLANDS**

ʻulu maika diameter 3 1/4in (8cm)

#### Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

Finely carved, most likely without the use of metal tools with a collector's mark along the rim "I-98-M."

US\$1,000 - 1,500 €910 - 1,400 280

# SMALL BOWL, HAWAIIAN ISLANDS

height 3 1/2in (8.9cm)

# Provenance

Taylor A. Dale, Santa Fe Richard I.M. Kelton Collection, Marina del Rey, California

US\$1,000 - 1,500 €910 - 1,400



# LARGE SERVING BOWL, HAWAIIAN ISLANDS

pohaku ku'i poi diameter 19in (48.5cm)

#### Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

Finely hand carved of deep, wide and rounded form with inward tapering walls; indigenous repairs and the inner surface patina with age and wear; fine varied honey-brown and dark-brown patina on the outer surface.

US\$3,000 - 5,000 €2,700 - 4,500





# COCONUT GOURD CONTAINER, MARQUESAS ISLANDS

height 8in (20cm)

#### Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

Intricately carved on the outer surface with distinctive Marquesan designs; fine dark-brown patina with evidence of significant age and use.

US\$2,000 - 3,000 €1,800 - 2,700 283

# POPOI POUNDER WITH JANUS HANDLE, MARQUESAS ISLANDS

ke'a tuki popoi height 8 1/2in (21cm)

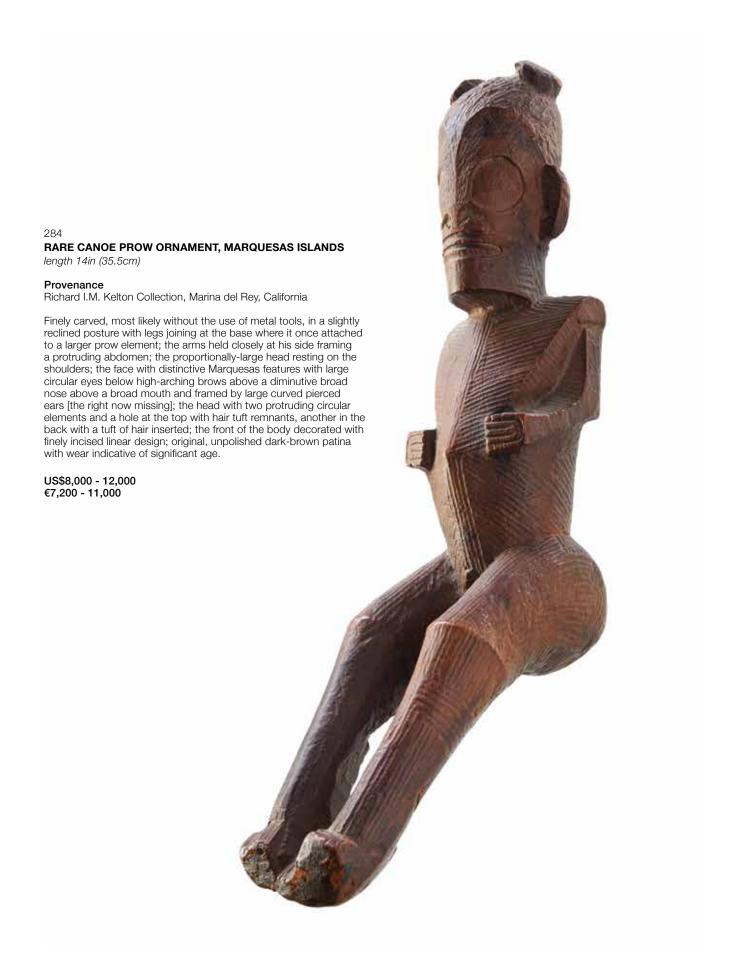
# Provenance

Christie's, London, 4 December 1984, Lot 560 Richard I.M. Kelton Collection, Marina del Rey, California

The elegantly sloping sides leading to a rounded pounding surface; the janus heads with distinctive Marquesas features.

Cf. Kjellgren, Eric and Carol Ivory, *Adorning the World - Art of the Marquesas Islands*, The Metropolitan Museum of New York, 2005, fig. 72.

US\$3,000 - 5,000 €2,700 - 4,500



#### SUPERB STILT STEP, MARQUESAS ISLANDS

tapuvai height 15in (37.5cm)

#### Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

According to Diane M. Pelrine, "Stilt games in the Marquesas Islands consisted of races and competitions in which one man would try to knock down his opponent by balancing on one stilt while using the other to strike the stilts of his rival. Particularly skillful stilt-walkers could also entertain by performing somersaults and other acrobatics. Stilt contests, along with singing and dancing, are said to have been the major entertainment at *koina* and *mau*, festivals marking special events such as weddings, milestones in the lives of children from important families, and the death of a chief or a *tau'a*, a priest through whom the gods were believed to speak (Landsdorff 1813, 1: 136; Handy 1923, 218; Ferdon 1993, 68). Thus, stilt contests were entertaining, but many were also sacred activities (Handy 1927, 306-7). They were believed to be a means of attracting the attention of deities, as well as a demonstration of the *mana* of the individual contestants and the families and groups they represented.

While stilt contests were also popular in other parts of Polynesia, such as the Society Islands, Hawaii, and New Zealand, only on the Marquesas did the stilts themselves become an art form." (Affinities of Form, Prestel-Verlag, Munich - New York, 1996, p. 84),

Finely carved in very hard wood, the upper figure with torso in reverse above a standing tiki; each figure with distinct Marquesas facial features with large eyes below high-arching brows, diminutive broad nose and broad open mouth; finely incised linear decoration to the front and step surface; rich, unpolished dark-brown patina.

US\$12,000 - 18,000 €11,000 - 16,000 286

# STILT STEP, MARQUESAS ISLANDS

tapuvai height 17 1/2in (43.7cm)

#### Provenance

Dr. Perfect
James T. Hooper Collection, London/Arundel (No. 435 written on lower back)
Christie's, London, 3 July 1990
Bonhams, London, 23 June 1992
Richard I.M. Kelton Collection, Marina del Rey, California

#### Published

Phelps, Steven, Art and Artefacts of the Pacific, Africa and the Americas - The James Hooper Collection, Hutchinson & Co., London, 1976, p. 103, pl. 52, figure 435

US\$5,000 - 7,000 €4,500 - 6,300





287 CHIEF'S CLUB, MARQUESAS ISLANDS u'u length 60 1/4in (153cm)

#### Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

According to Carol Ivory (personal communication), clubs such as this one are called 'u'u, and were a Marquesan warrior's most prized possession. They served as both a weapon in close combat and as a mark of high status within society. They are made from ironwood (Casuarina equisetifolia), also called toa by the Marquesans, a dense, heavy, hard wood. The clubs were buried in the mud of taro fields, then polished with coconut oil, to give them a rich, dark patina. Strands of braided sennit, with human hair attached, were wrapped around the handle area. The hair was usually that of relatives. They were designed with a curved notch on the top edge so that the warrior could put it under his arm and lean on it. As a result, they vary in size, between 4.5 and 5 feet, depending on the height of the owner."

Superbly carved, most likely without the use of metal tools, with the arched head carved with a mask at the apex on both sides above a double arched, bifurcated concave panel with two small tiki heads doubling as eyes within radiant halos above a transverse process with projecting flanges, centered by a third tiki head, the finely incised lower collar section decorated with classical Marquesan motifs and a mask, tapering to a cylindrical shaft with flared butt and bound with finely woven fiber with tufts of ancestral hair attached.

US\$18,000 - 24,000 €16,000 - 22,000





# TWO STONE POUNDERS, TAHITIAN ISLANDS

penu

heights 6 1/4 and 6 1/8in (16 and 15.5cm)

#### Provenance

K. John Hewett, London (darker stone only) Richard I.M. Kelton Collection, Marina del Rey, California

The light gray stone pounder with a label on the underside: "Maupiti Isl. Pounder/District shown on other label/acquired Papeete, Tahiti 12-13-85/from Danile Palacz B.P. 156 Papeete". The other label "Toahutu/Tevaeara: Ti'ra"

US\$3,000 - 5,000 €2,700 - 4,500 289

### **ADZE, TAHITI ISLANDS**

length 19 1/2in (49.5cm)

#### Provenance

Reportedly James Keggle, London English Private Collection, acquired from the above in the 1950s Finch & Co., London

Sotheby's, New York, 17 November 2006, Lot 233

Richard I.M. Kelton Collection, Marina del Rey, California

The blade attached with a finely woven fiber binding, "OTAHEITE" written on one side of shaft near binding; wear and patina indicative of significant age and use.

US\$4,000 - 6,000 €3,600 - 5,400 290

# CEREMONIAL ADZE, MANGAIA ISLAND, COOK ISLANDS

toki

length 26in (66cm)

#### Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

US\$1,000 - 1,500 €910 - 1,400







# CEREMONIAL ADZE, MANGAIA ISLAND, COOK ISLANDS

height 22 1/2in (57cm)

**Provenance**Richard I.M. Kelton Collection, Marina del Rey, California

In hard wood with finely incised decoration throughout, the elliptical shaft above a hexagonal base, each side pierced through with square holes; fine dark-brown patina.

US\$3,000 - 5,000 €2,700 - 4,500



# MAORI TINDER BOX, NEW ZEALAND

length 8in (20cm)

#### Provenance

Taylor A. Dale, Santa Fe Richard I.M. Kelton Collection, California, acquired from the above in

In rectangular form with hollowed out interior with a lip for the lid; intricately carved throughout the surface with finely incised linear, curvilinear and feathered designs distinctly attributable to Maori design; the top with a *tiki* head serving as a handle; two *tiki* figures with proportionally-large heads carved at the ends; fine, original, unpolished dark-brown patina.

US\$4,000 - 6,000 €3,600 - 5,400 294

# MAORI TREASURE BOX, NEW ZEALAND

wakahuia length 17in (43cm)

# Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

Wakahuia "held the treasured feathers and ornaments owned individually by high-ranking persons. Being worn in close contact with the *tapu* heads of chiefly individuals, these ornaments and their treasure-box containers took on the *tapu* power of their owners. A very wide range of ear, neck and breast ornaments rendered in stone, bone, shell, teeth, ivory and precious jade nephrite were worn by both men and women, becoming treasured family and tribal heirlooms as they passed down through the generations." (Starzecka, et. al., *The Maori Collections of the British Museum*, 2010, page 43.

Finely carved in oval form with incised linear and curvilinear designs throughout the outer surface; two full figure tikis carved at each end with the heads projecting as suspension handles; fine unpolished dark-brown patina with ochre pigment predominantly on the base.

US\$8,000 - 12,000 €7,200 - 11,000







# MAORI TREASURE BOX, NEW ZEALAND

wakahuia length 16 1/2in (41.8cm)

# Provenance

Christie's, London, 4 December 1984, Lot 567 Richard I.M. Kelton Collection, Marina del Rey, California

Of rectangular form with squared top and rounded bottom with incised linear and curvilinear decoration throughout the outer surface except for a plain band around the top rim; fine dark-brown patina.

US\$4,000 - 6,000 €3,600 - 5,400



# MAORI TREASURE BOX, NEW ZEALAND

length 12 1/2in (31.5cm)

### Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

Finely carved, most likely by stone, with incised linear and curvilinear designs throughout the outer surface, arched janus tiki-head handles on the ends, the top with tiki heads carved at each corner (one with an inlaid paua shell in the left eye), the rectangular lid inlaid with three circular paua shells (one now missing), the bottom with two inlaid circular paua shells; an indigenous repair to a long fracture on one long side; unpolished dark-brown patina with ochre pigment encrustations.

US\$4,000 - 6,000 €3,600 - 5,400



# MAORI HAND CLUB, NEW ZEALAND

patu onewa length 13 (32.7cm)

**Provenance**Richard I.M. Kelton Collection, Marina del Rey, California

This finely carved basalt club is pierced through at the handle for a fiber wrist cord attachment, the butt with two concentric ridges and one in the center.

US\$2,000 - 3,000 €1,800 - 2,700

# MAORI END-BLOWN STRAIGHT FLUTE, NEW ZEALAND

koauau length 7in (18cm)

# Provenance

Richard I.M. Kelton Collection, Marina del Rey, California

This fine and rare example is intricately carved of hard wood in cylindrical form, hollowed out in the interior; decorated on opposing sides with three stacked tiki figures carved in high relief between fields of incised curvilinear designs; pierced through with three finger holes on one side; fine original, unpolished reddish-brown patina.

Cf. Cf. Starzecka, Dorota, Roger Neich and Mick Pendergast, *The Maori Collections of the British Museum*, The British Museum Press, 2010, figs. 485-94

US\$12,000 - 18,000 €11,000 - 16,000





#### PROPERTY FROM VARIOUS OWNERS

299

#### STANDING MALE FIGURE, EASTER ISLAND

height 18 1/2in (47cm)

#### Provenance

William Downing Webster (1868-1913) Lt. Gen. Augustus Henry Lane Fox Pitt-Rivers 'Second' Collection, Farnham, England, Cambridge University Library Number Add.9455vol4\_p1456/1, given 9 April 1897 English Private Collection, acquired in the 1970s

"In 1880, Augustus Henry Lane Fox inherited a large estate and fortune from his great uncle. It was a pivotal year for him, not only did his fortunes and surname change but his formal army career had by now more or less ended, and his arrangement with South Kensington Museum had started to unravel.

On his new private estates in Dorset Pitt-Rivers decided to devote his leisure time to building up a second collection which he would display in a private museum at Farnham on his estate. This Museum would house the archaeological objects he had dug up on his property together with the models he had made of the excavations. More importantly it would show some of the thousands of artefacts he bought between 1880 and 1900." (The Pitt Rivers Museum, University of Oxford, WEB, 2013)

Of slender form with proportionally-long arms resting at his side, the torso with a full chest with the bottom of the sternum (xiphoid process) extended and incised rib cage only on the right side; the domed head with eyes inlaid with shells and black stone, heavy lower eyelids and a goatee; dark, reddish-brown patina with "EASTER ISLAND./BT. WEBSTER. 1897./P. 1456." written on the back.

US\$6,000 - 9,000 €5,400 - 8,200

300

#### MALE FIGURE, EASTER ISLAND

height 17in (43.5cm)

#### Provenance

William Downing Webster (1868-1913)

Lt. Gen. Augustus Henry Lane Fox Pitt-Rivers 'Second' Collection, Farnham, England (#7148 written on the back), Cambridge University Library Number Add.9455vol6\_p1986/2, given 17 May 1899 English Private Collection, acquired in the 1970s

Of slender form with proportionally-long arms resting at his side, the head turned to the right with eyes inlaid with shells and black stones; dark, reddish-brown patina with "7148" written on the back.

US\$6,000 - 9,000 €5,400 - 8,200

30

### STANDING FEMALE FIGURE, EASTER ISLAND

height 24 3/4in (63cm)

#### Provenance

William Downing Webster (1868-1913)

Lt. Gen. Augustus Henry Lane Fox Pitt-Rivers 'Second' Collection, Farnham, England (#7148 written on the back), Cambridge University Library Number Add.9455vol4\_p1455, given 9 April 1897 English Private Collection, acquired in the 1970s

Of broad width yet thin in depth, holding her right arm to her left breast and left arm to her genital area, her conical head with vertical incisions highlighting the top, a broad forehead above eyes inlaid with shells and black stones; dark, reddish-brown patina, the back with collection notes "EASTER ISLAND./BT.WEBSTER.1897./P.1455."

US\$6,000 - 9,000 €5,400 - 8,200





# EXCEPTIONAL AND RARE MAORI ANTHROPOMORPHIC PENDANT, NEW ZEALAND, CA. 1600-1700

hei tiki height 4in (10cm)

#### Provenance

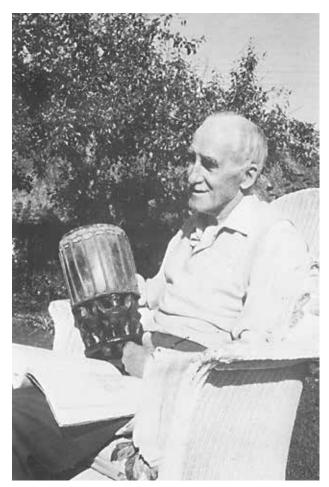
James T. Hooper Collection, London/Arundel Christie's, London, 21 June 1977, Lot 58 Robert M. Browne Collection, Honolulu, Hawai'i (no. 955) Mark and Carolyn Blackburn Collection, Honolulu, Hawai'i

#### Published

Phelps, Steven, *Art and Artefacts of the Pacific, Africa and the Americas - The James Hooper Collection*, Hutchinson Publications, London, 1976, fig. 50;

Mack, Charles, *Polynesian Art at Auction 1965–1980*, Mack-Nasser Publishing, Northboro, MA, 1982, p. 132-133;

Kaeppler, Adrienne, *Polynesia — The Mark and Carolyn Blackburn Collection of Polynesia Art*, University of Hawai'i Press, Honolulu, 2015, pp. 160 and 340, fig. 456



James Hooper in September 1970, with his treasured Hawaiian drum

Phelps, Steven, Art and Artefacts of the Pacific, Africa and the Americas—The James Hooper Collection, Hutchinson of London, 1976, p. 13





Te Rangi Topeora, 1860
Photograph by E. S. Richards. Museum of New Zealand Te Papa Tongarewa.
Rangi Topeora, one of the principal chiefs of the Ngāti Toa Rangatire and Ngāti Raukawa tribes wearing several pounamu necklaces.

Born September 1, 1897, James Thomas Hooper had amassed the most important private collection of Polynesian art by the time he turned sixty. In 1957, he put his entire collection on public display at his personal 'Totems Museum.'

Hooper wrote, "After my service in World War I, my quest for ethnographical specimens continued but I soon realised that many of the products of primitive man were becoming increasingly difficult to obtain.

With the advent of modern civilisation, the whole way of life of many primitive peoples had changed and this was bringing about the disappearance of their weapons, ornaments, dress, gods, masks and other objects associated with their former existence. I could see that no time should be lost if such objects were to be preserved and I therefore commenced in earnest the forming of an ethnographical collection." (Hooper, J.T. and Burland, C.A., *The Art of Primitive Peoples*, Fountain Press, London, 1953, pp. 11-12)

According to Roger Neich, 'Distinct from all of these forms, the jade breast ornament called *hei-tiki* is the most characteristic and most highly valued of all Maori personal ornaments. In some Maori origin myths, *Tiki* was the first man, having been created by the god *Tane*. Thus carvings of human figures in any material whether bone, stone or wood, may be called a *tiki*. The prefix *hei* indicates something suspended from the neck, as in *hei-tiki* and *hei-matau*. *Hei-tiki* may be worn by both men and women, usually hanging vertically but sometimes horizontally from a suspension point on the side, especially by women. They are passed down through the generations

as family heirlooms, and during a funeral they will be displayed near the deceased, along with other family heirlooms. With their own personal names, many hei-tiki are remembered in tribal songs and oral histories. Most of the mana or prestige of the hei-tiki derives from its close contact with those great ancestors who have worn it in the past, rather than from any magical or mystical meaning. Some would argue for a phallic symbolism in hei-tiki, while others claim that they represent fertility, perhaps in the form of a human embryo. Most commentators would agree that many of the current meanings attached to hei-tiki are relatively recent interpretations of an ancient symbol refined by many generations of artists. It is only natural that such a potent image as the hei-tiki would be subject to continuing reinterpretation. Consequently, any search for the "original meaning" of the hei-tiki is probably futile.' (Pounamu: Maori Jade of New Zealand, David Bateman Ltd., Auckland, 1997, pp. 23-25)

Exquisitely carved with stone tools from the highly-valued *pounamu* nephrite greenstone, in rare low-relief form with an exceedingly rare turn of the head to the left, each hand with three fingers, the left to the chest and the right to the hip; the right eye with *paua* shell inlay and red sealing wax as a pupil, the left eye inlays now missing. According to Kaeppler (lbid.), "the suspension hole at the top and the projections of the ears and beard suggest a Bay of Plenty origin" on the north shore of the north island of New Zealand; collectors' marks "H150/Br.955" written in white on back.

U\$\$200,000 - 300,000 €180,000 - 270,000







303 W

#### TWO MAORI STOREHOUSE DOOR JAMBS, NEW ZEALAND

whakawae

heights 41 1/2 & 42in (105.5 & 106.5cm)

#### Provenance

English Private Collection, reportedly collected on a voyage to the South Pacific around 150 years ago Thence by descent

Each panel of rectangular form with the wall panels still attached in back, the front edge intricately carved with three solid figures, one above the other, each with naturalistic plain bodies and legs, with wheku faces; spirals with openwork on one side of each; rich, deep blackened patina.

Cf. Starzecka, Dorota, Roger Neich and Mick Pendergast, *The Maori Collections of the British Museum*, The British Museum Press, 2010, figs. 103 and 140

US\$25,000 - 35,000 €23,000 - 32,000

304

#### **FAN HANDLE, MARQUESAS ISLANDS**

tahi length 16 1/2in (41.8cm)

#### Provenance

La Korrigane Expedition, 1934-36 French Private Collection

"Distinctively shaped fans, or *tahi'i*, were carried by *toa* (warriors), *tau'a* (ritual specialists), and other high-ranking men and women as status markers. Displayed on important occasions, especially feasts, their visual impact was enhanced by the elegant manner with which they were carried, particularly by women." (Kjellgren, Eric and Carol Ivory, *Adorning the World*, The Metropolitan Museum of Art, New York, 2005, p. 81)

La Korrigane Expedition was the last ethnological expedition to have returned to France from then unexplored territories with over 2,500 artefacts. The finest of these objects were shown in the Musée de l'Homme in Paris, when it opened in 1937. Nearly 800 of them are now prize exhibits in The Musée du quai Branly – Jacques Chirac.

US\$4,000 - 6,000 €3,600 - 5,400



304





#### **BONE ORNAMENT, MARQUESAS ISLANDS**

ivi po'o height 1 3/4in (4.3cm)

#### Provenance

French Private Collection Private Collection, California

Eric Kjellgren and Carol Ivory (Adorning the World: Art of the Marquesas Islands, The Metropolitan Museum of Art, New York, 2005, p. 44) note: 'The human body is the most important theme in Marquesan art. The primary motif in Marquesan designs, the body was also a major focus for artistic expression and materials derived from it served as artistic media. To adorn both themselves and the objects they used, Marquesans fashioned beadlike cylinders of bone called *ivi* po'o ("bone pieces") from the arm and leg bones of their enemies. Those carved in human form...were referred to as *tiki ivi* po'o [...]

[...] As with all Marquesan *tiki*, the *tiki ivi po'o* represent deified ancestors, beings who were honored and propitiated to ensure their assistance in important tasks and in sustaining the abundance of food, especially breadfruit, the staple of the Marquesan diet. These powerful ancestral images may also have served, in part, as supernatural guardians for the individuals who wore them or the objects they adorned."

US\$8,000 - 12,000 €7,200 - 11,000 306

#### **BONE ORNAMENT, MARQUESAS ISLANDS**

ivi po'o height 1 3/8in (3.4cm)

#### Provenance

American Private Collection Christie's New York, 20 November 1997, Lot 191 Celeste and Armand Bartos Collection, Paris Christie's Paris, 19 June 2013, Lot 25 Private Collection, California

US\$4,000 - 6,000 €3,600 - 5,400

307

## SUPERB AND RARE DECORATED GOURD, NIIHAU ISLAND, HAWAIIAN ISLANDS

height 13 1/2in (34.3cm)

#### Provenance

Private Collection, Hilo, Hawai'i

Te Rangi Hiroa (Sir Peter Buck) notes, "Decorated gourd bowls ('umeke pawehe) were made on Niihau, where decorated mats (moena pawehe) were also made. The descriptive word pawehe was applied to geometric decoration on both gourds and mats. The decoration consisted of lines and geometrical figures in the natural color of the gourds set in a dark background. (Arts and Crafts of Hawaii, 1957, pp. 36-37)

Of naturalistic form, hollowed interior, painted around the spherical section in four sections with fields of butterfly, zigzag, starburst and feather-like patterns; a shell inserted into the top as a stopper; fine natural ochre patina.

US\$5,000 - 7,000 €4.500 - 6.300





## ADZE BLADE, KAUAI STIRRUP POUNDER AND FISHING SINKER, HAWAIIAN ISLANDS

height of pounder 5in (12.7cm) length of adze blade 5 3/4in (14.5cm) diameter of sinker 2in (5cm)

#### Provenance

Private Collection, Kauai, Hawai'i

US\$5,000 - 7,000 €4,500 - 6,300



#### FINE SERVING BOWL, HAWAIIAN ISLANDS

pohaku ku'i poi diameter 13 1/4in

#### Provenance

Hawaiian Family Private Collection, Molokai, Hawai'i

Finely hand carved, probably of kou wood, with superb colors and natural grain having been carved from sapwood of the tree; the base and walls of uniform size, slightly tapered inwards at the top; rich varied dark and honey-brown patina with wear to the inside indicative of significant age and use.

US\$6,000 - 9,000 €5,400 - 8,200



#### **CLUB, TONGAN ISLANDS**

apa'apai length 44in (111.8cm)

#### Provenance

English Private Collection

The cylindrical shaft gradually becoming flatter and broader at the top; the top with five bands of transverse ridges, increasing from four to ten ridges to the top; fine dark-brown surface with patina indicative of significant age.

US\$5,000 - 7,000 €4,500 - 6,300

#### **POLE CLUB, FIJI ISLANDS**

length 46 1/2in (118cm)

#### Provenance

European Private Collection

US\$1.500 - 2.000 €1,400 - 1,800

312

#### 'ROOTSTOCK' CLUB, FIJI ISLANDS

length 39 1/4in (99.5cm)

#### Provenance

Private Collection, California

The top of the root section of the club and the grip both decorated with incised zigzags, the base of the grip with sennit binding; rich, dark-brown surface with wear indicative of significant age.

US\$5,000 - 7,000 €4,500 - 6,300

310







#### THROWING CLUB, FIJI ISLANDS

i ula kitu length 49.5cm (19 1/2in)

#### Provenance

European Private Collection

US\$1,000 - 1,500 €910 - 1,400

314

#### **BEAKED "BATTLEHAMMER" CLUB, FIJI ISLANDS**

totokia length 35 1/2in (90cm)

#### Provenance

European Private Collection

According to Cluny (Yalo i Viti, Fiji Museum, 1986, p. 185), "With its heavy, swollen head, and the concentration of its impact on the point of its beak, the totokia was intended to 'peck' holes in the skull. Shortest and most specialised of clubs, it delivered a deadly blow in an abrupt but vicious stab, not requiring the wide swinging arc demanded by others."

US\$3,000 - 5,000 €2,700 - 4,500

#### 'ROOTSTOCK' CLUB, FIJI ISLANDS

waka length 44 1/8in (112cm)

#### Provenance

European Private Collection

The handle finely carved with incised zigzag design.

US\$2,000 - 3,000 €1,800 - 2,700





## SUPERB CANOE PROW ORNAMENT, NEW GEORGIA ISLAND, SOLOMON ISLANDS

nguzu nguzu height 10 7/8in (27.6cm)

#### Provenance

John J. Klejman, The J.J. Klejman Gallery, New York Ray and Laura Wielgus Collection, Chicago and Tucson, no. 58.103 Lance and Roberta Entwistle, London and Paris American Private Collection, acquired in 1994

#### Published and Exhibited

The Ray and Laura Wielgus Collection, Arts Club of Chicago, Chicago, 1966, no. 39

Sir David Attenborough notes, "There is no shortage of descriptions of the Solomon Islanders by people who visited them in the nineteenth and earlier twentieth centuries. They were savage, bloodthirsty and addicted to head-hunting. Their war canoes were much feared. Sixty feet long and carrying up to a hundred warriors paddling to the rhythm of blasts on a conch-shell trumpet, they could reach speeds of fifteen knots and easily overhaul a European sailing ship if the winds were light.

But visitors to the Solomons also brought objects back from the islands and these tell a different story. They reveal a people who delighted in visual beauty and who invented a decorative style that demands one of the most time-consuming techniques imaginable lines of pearly plates, taken from nautilus shell and carefully cut into rings, stars and zigzags. The islanders used it to embellish all the things they most valued - their feast bowls, their ceremonial clubs, the statues of their gods and, perhaps most memorable of all, the small figureheads that they tied to the bows of their war canoes to detect hidden reefs and guide them through treacherous waters." (Howarth, Crispin, *Varilaku - Pacific Arts from the Solomon Islands*, National Gallery of Australia, Canberra, 2011, p. 7)

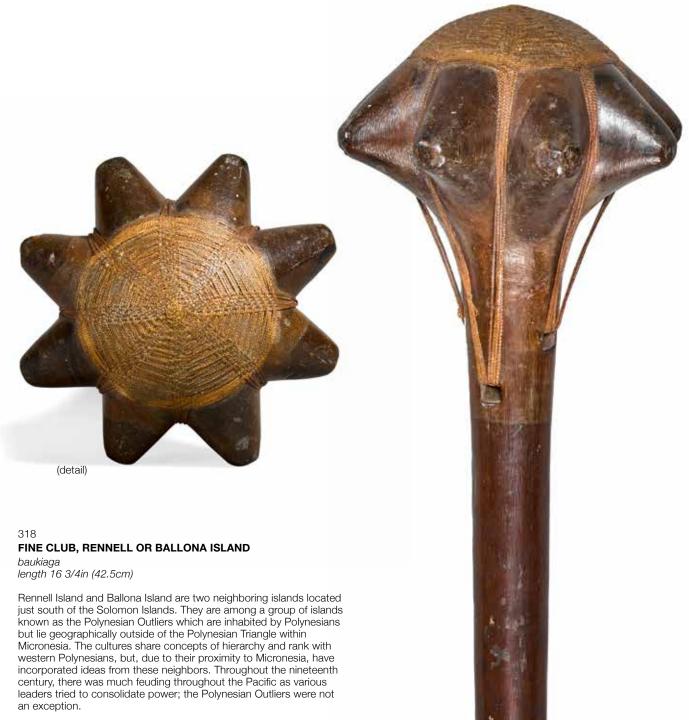
Howarth later notes, "Canoe-prow figureheads were an important part of a war canoe. Their main recorded function was to serve the canoe and its warriors in a protective manner. The spirit of the prow figure protected against natural and supernatural elements: anything from storms and dangerous waters to menacing water spirits. The large eyes and ears aided in warding off sea spirits; the ears to hear everything in the air and underwater, the eyes fixed open in an everwatchful, piercing gaze." (lbid., p. 94)

This rare and refined *nguzu nguzu* is intricately carved in a light wood, the remarkably large head with tall rounded top gracefully sloping down in front into the line of the nose curving upwards with open flared nostrils; the mouth slightly open with chin resting sensitively on the hands brought together at the front; enhanced with delicate and superbly cut pearl shell (*Meleagrina margaritifera*) inlay in the eyes and the linear and curvilinear decorative motifs on the face; a ridge to the back pierced with a hole for attachment to the canoe; varied dark-brown glossy patina.

US\$80,000 - 120,000 €72,000 - 110,000







This finely stone-carved club with a tapered shaft has eight blunt radial projections on the mace head with exceedingly fine and original 19th century tightly woven sennit cordage circling the top of the head, lashed on with threads wrapping down through two squared holes in the shaft; rich, dark brown patina.

Cf. Birket-Smith, Kaj, *An Ethnological Sketch of Rennell Island*, 2nd Ed., Royal Academy, Denmark, Kobenhavn, 1969, fig. 70b

US\$10,000 - 15,000 €9,100 - 14,000



## STONE MONEY, YAP STATE, FEDERATED STATES OF MICRONESIA

rai largest diameter 17in (43.2cm)

#### Provenance

Henry and Arabella Huntington, San Marino, California Thence by descent Private Collection, California According to Barbara Wavel, "Yap is known throughout the world for its giant stone money. Sometimes taller than the height of a man, this money is the largest and, many say, the most unusual form of money in the world. It consists of stone wheels of crystallized calcite shaped like millstones that are mined in Palau, 250 miles from Yap, and originally transported across the ocean on rafts attached to outrigger canoes. Many Yapese were killed in storms and accidents during transport, and, therefore, stone money was relatively rare, as well as somewhat restricted in size. These stone wheels, called *rai*, could be as small as seven inches across but were mined in larger and larger sized once European traders...supplied iron tools for mining and began to transport the stone wheels on sailing ships."

(Wavel, Barbara, Arts and Crafts of Micronesia, Bess Press, 2010, pp.77-78)

US\$10,000 - 15,000 €9,100 - 14,000



#### MALAGAN FRIEZE, NEW IRELAND

kobokobor height 44 1/2in (113cm)

#### Provenance

Sonja Bata Collection, Toronto Canadian Private Collection

Intricately carved in light wood, the central rectangular panel from one piece of wood with rounded edges and a figure in high relief in the center with distinctive malagan features—large circular eyes inset with shells, broad nose with flaring nostrils and grinning mouth revealing teeth—remnants of fiber tufts in the hair; the hands coming together at the front and holding a fish; circular bands of incised feather-like designs frame the figure; four separately carved fish elements attached with pins to each of the four sides [the top and bottom reinforced with later metal plates hammered in]; decorated overall with black, red and white pigments.

US\$15,000 - 20,000 €14,000 - 18,000

## BIWAT MASK, YUAT RIVER REGION, LOWER SEPIK, PAPUA NEW GUINEA

height 12 1/2in (31.8cm)

#### Provenance

Reportedly the Ethnographic Museum of Dresden, Germany Arthur Speyer II, Berlin, reportedly in exchange with the above in 1975 Wayne Heathcote, London and New York American Private Collection, acquired from the above in 1993

Biwat artists carved a variety of masks, yet they mostly share the same characteristics of the work presented here: overall bulbous design in oval form with rounded pierced nose, pursed projecting lips with open mouth, and great emphasis on the eyes. In the present work, the eyes, tongue and top of the head are accented with ochre pigment; pierced around the rim and through the ears for attachments.

US\$30,000 - 50,000 €27,000 - 45,000





## KEREWA SKULL RACK, GOARIBARI ISLAND, PAPUA NEW GUINEA

agiba height 46in (116.8cm)

#### Provenance

Field collected by Dick Randolph, a crocodile hunter, on the Turama River circa 1960 Richard Aldrige, Australia Private Collection, Chicago Bonhams, 11 November 2010, Lot 6063 European Private Collection

Agiba were receptacles of sanctity, imbued by the skulls of enemies and animals suspended on vertical hooks inside the figure. Agiba were kept inside the men's houses and each clan had its own. Douglas Newton comments, "There can be no doubt of their importance and sanctity." (Newton, Douglas, The Art Styles of the Papuan Gulf, The Museum of Primitive Art, New York 1961.)

US\$8,000 - 12,000 €7,200 - 11,000

323

#### **EXCEPTIONAL LOWER SEPIK MASK, PAPUA NEW GUINEA**

height 24 1/2in (62.2cm)

#### Provenance

English Private Collection
Acquired from the above by the present owner

Finely carved in elliptical form with concave back and pierced around the rim for attachments, the face with distinctive Sepik River features including narrow slanted eyes, long nose pointing downward and slightly to the right above a diminutive pierced mouth; fine dark-brown patina with wear around edges and piercings indicative of significant age and use.

US\$8,000 - 12,000 €7,200 - 11,000





#### ABORIGINAL "RAIN FOREST" SHIELD, AUSTRALIA

height 40in (101.5cm)

#### Provenance

French Private Collection

Bård R. Aaberge notes, 'The big, beautifully decorated, fighting shields and one-handed swords are distinctive features belonging to the Aboriginal Rainforest Cultures between Ingham in the south, Bloomfield River in the north, inland to the Herbert River and on the mountain ranges of the Atherton Tablelands. The swords and shields were used in big social gatherings to settle disputes and legal conflicts between different tribes as well as between individuals. Smaller painted shields and matching swords were used in "play" or ceremonial dances. [...]

[...] White, yellow and red clays, as well as charcoal mixed with oil, water or human blood providing a black colour, were painted with a fray ended lawyer cane to make the designs on the shields. Two initiated men would paint the design simultaneously according to traditional custom.

All the painted designs had a known symbolic meaning derived from objects that played an intimate part of life; tools, weapons, various fish and animals hunted for food, edible seeds, medicinal plants, as well as less intimate objects such as stars and comets.' (Aboriginal Rainforest Shields of North Queensland, Cairns Historical Society and Museum 2009)

Carved from the trunk of a native fig tree in a skewed elliptical form with a carved out handle on the back and raised rectangular knob on the front center, decorated on the front with a diamond geometric design in blue, ochre, white and mustard pigments; fine aged patina.

US\$20,000 - 30,000 €18,000 - 27,000

325

#### SHIELD, WESTERN AUSTRALIA

wunda height 24 13/16in (63cm)

Cf. Benitez-Johannot, Purissima and Jean Paul Barbier, Shields: Africa, Southeast Asia and Oceania, Prestel, 2000, fig. 100 (BMG 6000-6)

US\$3.000 - 5.000 €2,700 - 4,500





ASMAT SHIELD, WEST PAPUA, IRIAN JAYA

height 82 1/2in (209.3cm)

Provenance Private Collection, New York

US\$3,000 - 5,000 €2,700 - 4,500

#### FINE SHIELD, NORTHWEST REGION **GULF OF TOMINI OR KULAWI, SOUTH SULAWESI**

kanta height 43 5/16in (110cm)

Cf. Benitez-Johannot, Purissima and Jean Paul Barbier, Shields: Africa, Southeast Asia and Oceania, Prestel, 2000, fig. 60 (BMG3600)

According to Benitez-Johannot (ibid.), 'This shield is so narrow it is hard to imagine it being of any use in warding off arrows or deflecting spears. It might possibly have been employed in sword fights . . . more probable that the shield was indeed intended for dancing. It is made from a single piece of light-weight wood inlaid with triangular sections of bone and shells, and decorated with rows of "human hair" supposedly from the victims of the prestigious owner of the object. In fact, it seems as if hair has been replaced by animal fur--goat for the most part--in the majority of known examples of this type. The reverse side is not decorated. The elongated handle has been carved from the block.

The origin of this shield, of a type that was certainly no longer produced in the twentieth century, has proved difficult to pinpoint. Kaudern [Walter] notes it as 'Kulawi', the brothers Paul and Fritz Sarasin attribute it to the Topeba-to ethnic group, while Albert Grubauer places it among the Tobela who inhabit an area to the east of the Sa'dan Toraja.'

#### US\$10,000 - 15,000 €9,100 - 14,000

Please note, three of the triangular white shell inlays are missing. Bonhams will pay for the restoration of these missing pieces on behalf of the successful buyer.



## **Bonhams**

**AUCTIONEERS SINCE 1793** 



# Alexandre Noll The Collection of Dominique T Noll

New York | December 13, 2019

#### **INQUIRIES**

+1 (212) 710 1306 design.us@bonhams.com

bonhams.com/design

#### **ALEXANDRE NOLL (1890-1970)**

Cabinet, circa 1955 sapelli, carved 'ANOLL' height 37 1/2in (95cm); width 31 3/4in (81cm); depth 21 1/4in (54cm)

## Bonhams

**AUCTIONEERS SINCE 1793** 



## 19th Century European Paintings

New York | November 20, 2019

#### **PREVIEW**

November 16 - 20

#### **INQUIRIES**

+1 (212) 644 9108 madalina.lazen@bonhams.com bonhams.com/19thcenturypaintings

## DANIEL RIDGWAY KNIGHT (AMERICAN, 1839-1924)

Girl in harvest field oil on canvas 46 x 35 1/2in (116.8 x 90.2cm) \$70,000 - 100,000

## **Bonhams**

**AUCTIONEERS SINCE 1793** 



## Post-War & Contemporary Art

New York | November 13, 2019

#### **PREVIEW**

November 2 - 13

#### **INQUIRIES**

+1 (212) 644 9039 jacqueline.towers-perkins@bonhams.com bonhams.com/contemporaryart

#### HANS HOFMANN (1880-1966)

Blue Vase, 1940 oil on panel 34 3/4 x 25 in. (88.3 x 63.5 cm.) \$120,000 - 180,000

#### Conditions of sale

The following Conditions of Sale, as amended by any published or posted notices or verbal announcements during the sale, constitute the entire terms and conditions on which property listed in the catalog shall be offered for sale or sold by Bonhams & Butterfields Auctioneers Corp. and any consignor of such property for whom Bonhams acts as agent. By participating in this sale, you agree to be bound by these terms and conditions.

If live online bidding is available for the subject auction, additional terms and conditions of sale relating to online bidding will apply; see www.bonhams.com/WebTerms for the supplemental terms. As used herein, "Bonhams," "we" and "us" refer to Bonhams & Butterfields Auctioneers Corp.

- As used herein, the term "bid price" means the price at which a lot is successfully knocked down to the buyer. The term "purchase price" means the aggregate of (a) the bid price, (b) a PREMIUM retained by us and payable by the buyer (the "buyer's premium"), EQUAL TO 27.5% OF THE FIRST \$3,000 OF THE BID PRICE, 25% OF THE AMOUNT OF THE BID PRICE ABOVE \$3,000 UP TO AND INCLUDING \$400,000, 20% OF THE AMOUNT OF THE BID PRICE ABOVE \$400,000 UP TO AND INCLUDING \$4,000,000. AND 13,9% OF THE AMOUNT OF THE BID PRICE OVER \$4,000,000, and (c) unless the buyer is exempt by law from the payment thereof, any Alabama, Arizona, California, Colorado, Connecticut, Florida, Georgia, Hawaii, Idaho, Illinois, Iowa, Indiana, Kentucky, Louisiana, Maine, Massachusetts, Michigan, Minnesota, Nevada, New Jersey, New York, North Carolina, Ohio, Pennsylvania, Rhode Island, Texas, Utah, Virginia, Washington, D.C., Washington , Wisconsin, Wyoming or other state or local sales tax (or compensating use tax) and other applicable taxes. With regard to New York sales tax, please refer to the "Sales and Use Tax" section of these Conditions of Sale.
- 2. In order to bid at the sale, prospective bidders must submit to Bonhams a completed bidder registration form (appearing at the end of this catalog) and any other requested information or references. New bidders and bidders who have not recently updated their registration information must pre-register to bid at least two business days before the sale. Individuals will be required to provide government-issued proof of identity and proof of address. Entity clients will be required to provide documentation including confirmation of entity registration showing the registered name, confirmation of registered address, documentary proof of officers and beneficial owners, proof of authority to transact on behalf of the entity and government-issued proof of identity for the individual who is transacting on the entity's behalf.

We may also request a financial reference and /or deposit from bidders before approving the bidder registration. In the event a deposit is submitted and you are not the successful bidder, your deposit will be returned to you. If you are the successful bidder, any such deposit will be credited to offset the appropriate portion of the purchase price.

We reserve the right to request further information, including regarding the source of funds, in order to complete bidder identification and registration procedures (including completing any anti-money laundering and/or anti-terrorism financing checks we may require) to our satisfaction. If our bidder identification and registration procedures are not satisfied, we may, in our sole discretion, decline to register any bidder or reject any bid or cancel any sale to such bidder.

Every bidder shall be deemed to act as a principal unless prior to the commencement of the sale there is a written acceptance by Bonhams of a bidder registration form completed and signed by the principal which clearly states that the authorized bidding agent is acting on behalf of the named principal. Absent such written acceptance by Bonhams, any person placing a bid as agent on behalf of another (whether or not such person has disclosed that fact or the identity of the principal) may be jointly and severally liable with the principal under any contract resulting from the acceptance of a bid. Every bidder shall be responsible for

any use of its assigned paddle or bidding account, regardless of the circumstances.

You represent and warrant that: (i) you have provided us with true and correct copies of valid identification and proof of residence and, if applicable, financial and/ or corporate documents; (ii) neither you, your principal (if applicable, and subject to Bonhams' prior written acceptance pursuant to paragraph 2 above), nor any individual or entity with a beneficial or ownership interest in either or in the purchase transaction is on the Specially Designated Nationals List maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury nor subject to any other sanctions or embargo program or regulation in effect in the United States, European Union, England and Wales, or other applicable jurisdictions; (iii) if you are acting as an agent for a principal, you have conducted appropriate due diligence into such principal, and agree that Bonhams shall be entitled to rely upon such due diligence, you will retain adequate records evidencing such due diligence for a period of five (5) years following the consummation of the sale, and will make these records available for inspection upon Bonhams' request: (vi) neither the purchase transaction (including your bidding activity) nor the purchase funds are connected with nor derive from any criminal activity, and they are not designed to nor have they or shall they, violate the banking, anti-money laundering, or currency transfer laws or other regulations (including without limitation, import-export laws) of any country or jurisdiction, or further any other unlawful purpose, including without limitation collusion, anti-competitive activity, tax evasion or tax fraud.

You acknowledge and agree that we may rely upon the accuracy and completeness of the foregoing warranties.

4. On the fall of the auctioneer's hammer, the highest bidder shall have purchased the offered lot in accordance and subject to compliance with all of the conditions set forth herein and (a) assumes full risk and responsibility therefor, (b) if requested will sign a confirmation of purchase, and (c) will pay the purchase price in full or such part as we may require for all lots purchased. No lot may be transferred.

Unless otherwise agreed, payment in good, cleared funds is due and payable within five (5) business days following the auction sale. Whenever the buyer pays only a part of the total purchase price for one or more lots purchased, we may apply such payments, in our sole discretion, to the lot or lots we choose. Payment will not be deemed made in full until we have received good, cleared funds for all amounts due. Title in any purchased property will not pass until full and final payment has been received by Bonhams. Accounts must be settled in full before property is released to the buyer. In the event property is released earlier, such release will not affect the passing of title or the buyer's obligation to timely remit full payment.

We reserve the right to refuse to accept payment from a source other than the registered bidder or buyer of record. Once an invoice is issued, we cannot change the buyer's name on an invoice.

Payment for purchases must be made in the currency in which the sale is conducted and may be made in or by (a) cash, up to the amount of US \$5,000 (whether by single or multiple related payments), or the equivalent in the currency in which the sale is conducted, (b) cashier's check or money order, (c) personal check with approved credit drawn on a U.S. bank, (d) wire transfer or other immediate bank transfer, or (e) Visa, MasterCard, American Express or Discover credit, charge or debit card, provided that the registered bidder or buyer's name is printed on the card. A processing fee will be assessed on any returned checks.

To the fullest extent permitted by applicable law, the buyer grants us a security interest in the property, and we may retain as collateral security for the buyer's obligations to us, any property and all monies held or received by us for the account of the buyer, in our possession. We also retain all rights of a secured party under the California Commercial

Code, and you agree that we may file financing statements without your signature. If the foregoing conditions or any other applicable conditions herein are not complied with, in addition to all other remedies available to us and the consignor by law, we may at our election: (a) hold the buyer liable for the full purchase price and any late charges. collection costs, attorneys' fees and costs, expenses and incidental damages incurred by us or the consignor arising out of the buyer's breach; (b) cancel the sale, retaining as liquidated damages all payments made by the buyer; and/ or (c) cancel the sale and/or resell the purchased property, at public auction and/or by private sale, and in such event the buyer shall be liable for the payment of all consequential damages, including any deficiencies or monetary losses, and all costs and expenses of such sale or sales, our commissions at our standard rates, all other charges due hereunder, all late charges, collection costs, attorneys' fees and costs, expenses and incidental damages. In addition, where two or more amounts are owed in respect of different transactions by the buyer to us, to Bonhams 1793 Limited and/or to any of our other affiliates, subsidiaries or parent companies worldwide within the Bonhams Group, we reserve the right to apply any monies paid in respect of a transaction to discharge any amount owed by the buyer. If all fees, commissions, premiums, bid prices and other sums due to us from the buyer are not paid promptly as provided in these Conditions of Sale, we reserve the right to impose a finance charge equal to 1.5% per month (or, if lower, the maximum nonusurious rate of interest permitted by applicable law), on all amounts due to us beginning on the 31st day following the sale until payment is received, in addition to other remedies available to us by law.

- 5. We reserve the right to withdraw any property and to divide and combine lots at any time before such property's auction. Unless otherwise announced by the auctioneer at the time of sale, all bids are per lot as numbered in the catalog and no lots shall be divided or combined for sale.
- 6. We reserve the right to reject a bid from any bidder, to split any bidding increment, and to advance the bidding in any manner the auctioneer may decide. In the event of any dispute between bidders, or in the event the auctioneer doubts the validity of any bid, the auctioneer shall have sole and final discretion either to determine the successful bidder, re-open the bidding, or to cancel the sale and re-offer and resell the article in dispute. If any dispute arises after the sale, our sales records shall be conclusive in all respects.

We further reserve the right to cancel the sale of any property if (i) you are in breach of your representations and warranties as set forth in paragraph 3 above; (ii) we, in our sole discretion, determine that such transaction might be unlawful or might subject Bonhams or the consignor to any liability to any third party; or (iii) there are any other grounds for cancellation under these Conditions of Sale.

- 7. If we are prevented by fire, theft or any other reason whatsoever from delivering any property to the buyer or a sale otherwise cannot be completed, our liability shall be limited to the sum actually paid therefor by the buyer and shall in no event include any compensatory, incidental or consequential damages.
- 8. All lots in the catalog are offered subject to a reserve unless otherwise indicated in the catalog. The reserve is the confidential minimum bid price at which such lot will be sold and it does not to exceed the low estimate value for the lot. If a lot is offered subject to a reserve, we may implement such reserve by bidding on behalf of the consignor, whether by opening bidding or continuing bidding in response to other bidders until reaching the reserve. If we have an interest in an offered lot and the proceeds therefrom other than our commissions, we may bid up to the reserve to protect such interest. If the auctioneer determines that any opening or subsequent bid is below the reserve for a lot, (s)he may reject such opening bid and withdraw the item from sale. CONSIGNORS ARE NOT ALLOWED TO BID ON THEIR OWN ITEMS.

### Conditions of sale - continued

- Other than as provided in the Limited Right of Rescission with respect to identification of authorship, all property is sold "AS IS" and any statements contained in the catalog or in any advertisement, bill of sale, announcement, condition report, invoice or elsewhere as to period, culture, source, origin, media, measurements, size, quality, rarity, provenance, importance, exhibition and literature of historical relevance, merchantability, fitness for a particular purpose, or physical condition ARE QUALIFIED STATEMENTS OF OPINION AND NOT REPRESENTATIONS, WARRANTIES, OR ASSUMPTION OF LIABILITY. Neither Bonhams nor the consignor shall be responsible for any error or omission in the catalog description of any property. No employee or agent of Bonhams is authorized to make on our behalf or on that of the consignor any representation or warranty, oral or written, with respect to any property.
- 10. All purchased property shall be removed from the premises at which the sale is conducted by the date(s) and time(s) set forth in the "Buyer's Guide" portion of this catalog. If not so removed, daily storage fees will be payable to us by the buyer as set forth therein. We reserve the right to transfer property not so removed to an offsite warehouse at the buyer's risk and expense, as set forth in more detail in the "Buyer's Guide." Packing and handling of purchased lots are the responsibility of the buyer and at the buyer's entire risk, as are the identification, application for, and cost(s) of obtaining of any necessary export, import, restricted material (e.g. endangered species) or other permit for such lots.

For an additional fee, Bonhams may provide packing and shipping services for certain items as noted in the "Buyer's Guide" section of the catalog.

- 11. The copyright in the text of the catalog and the photographs, digital images and illustrations of lots in the catalog belong to Bonhams or our licensors. You will not reproduce or permit anyone else to reproduce such text, photographs, digital images or illustrations without our prior written consent. Bonhams and the consignor make no representation or warranty as to whether the buyer acquires any copyrights on the purchase of an item of Property.
- 12. Bonhams may, in our discretion, as a courtesy and free of charge, execute bids on your behalf if so instructed by you, provided that neither Bonhams nor our employees or agents will be liable for any error or default (whether human or otherwise) in doing so or for failing to do so. Without limiting the foregoing, Bonhams (including our agents and employees) shall not be responsible for any problem relating to telephone, online, or other bids submitted remotely through any means, including without limitation, any telecommunications or internet fault or failure, or breakdown or problems with any devices or online platforms, including third-party online platforms, regardless of whether such issue arises with our, your, or such third-party's technology, equipment, or connection. By participating at auction by telephone or online, bidders expressly consent to the recording of their bidding sessions and related communications with Bonhams and our employees and agents, and acknowledge their acceptance of these Conditions of Sale as well as any additional terms and conditions applicable to any such bidding platform or technology.
- 13. These Conditions of Sale shall bind the successors and assigns of all bidders and buyers and inure to the benefit of our successors and assigns. No waiver, amendment or modification of the terms hereof (other than posted notices or oral announcements during the sale) shall bind us unless specifically stated in writing and signed by us. No act or omission of Bonhams, its employees or agents, nor any failure thereof to exercise any remedy hereunder, shall operate or be deemed to operate as a waiver of Bonhams' rights under these Conditions of Sale. If any part of these Conditions of Sale is for any reason invalid or unenforceable, the rest shall remain valid and enforceable.
- 14. These Conditions of Sale and the buyer's and our respective rights and obligations hereunder shall be governed

by and construed and enforced in accordance with the laws of the State of California. Any dispute, controversy or claim arising out of or relating to this agreement, or the breach, termination or validity thereof, brought by or against Bonhams (but not including claims brought against the consignor by the buyer of lots consigned hereunder) shall be resolved by the procedures set forth below.

15. You accept and agree that Bonhams will hold and process your personal information and may share and use it as required by law and as described in, and in line with Bonhams' Privacy Policy, available at website at www. bonhams.com/legals/. If you desire access, update, or restriction to the use of your personal information, please email data.protection@bonhams.com.

#### SALES AND USE TAX

New York sales tax is charged on the hammer price, buyer's premium and any other applicable charges on any property collected or delivered in New York State, regardless of the state or country in which the buyer resides or does business. Buyers who make direct arrangements for collection by a shipper who is considered a "private" or "contract" carrier by the New York Department of Taxation and Finance will be charged New York sales tax, regardless of the destination of the property. Property collected for delivery to a destination outside of New York by a shipper who is considered a "common carrier" by the New York Department of Taxation and Finance (e.g. United States Postal Service, United Parcel Service, and FedEx) is not subject to New York sales tax, but if it is delivered into any state in which Bonhams is registered or otherwise conducts business sufficient to establish a nexus, Bonhams may be required by law to collect and remit the appropriate sales tax in effect in such state. Property collected for delivery outside of the United States by a freightforwarder who is registered with the Transportation Security Administration ("TSA") is not subject to New York sales tax.

#### MEDIATION AND ARBITRATION PROCEDURES

- (a) Within 30 days of written notice that there is a dispute, the parties or their authorized and empowered representatives shall meet by telephone and/or in person to mediate their differences. If the parties agree, a mutually acceptable mediator shall be selected and the parties will equally share such mediator's fees. The mediator shall be a retired judge or an attorney familiar with commercial law and trained in or qualified by experience in handling mediations. Any communications made during the mediation process shall not be admissible in any subsequent arbitration, mediation or judicial proceeding. All proceedings and any resolutions thereof shall be confidential, and the terms governing arbitration set forth in paragraph (c) below shall govern.
- If mediation does not resolve all disputes between the parties, or in any event no longer than 60 days after receipt of the written notice of dispute referred to above, the parties shall submit the dispute for binding arbitration before a single neutral arbitrator. Such arbitrator shall be a retired judge or an attorney familiar with commercial law and trained in or qualified by experience in handling arbitrations. Such arbitrator shall make all appropriate disclosures required by law. The arbitrator shall be drawn from a panel of a national arbitration service agreed to by the parties, and shall be selected as follows: (i) If the national arbitration service has specific rules or procedures, those rules or procedures shall be followed: (ii) If the national arbitration service does not have rules or procedures for the selection of an arbitrator, the arbitrator shall be an individual jointly agreed to by the parties. If the parties cannot agree on a national arbitration service, the arbitration shall be conducted by the American Arbitration Association, and the arbitrator shall be selected in accordance with the Rules of the American Arbitration Association. The arbitrator's award shall be in writing and shall set forth findings of fact and legal conclusions.

- (c) Unless otherwise agreed to by the parties or provided by the published rules of the national arbitration service:
- (f) the arbitration shall occur within 60 days following the selection of the arbitrator;
- (ii) the arbitration shall be conducted in the designated location, as follows: (A) in any case in which the subject auction by Bonhams took place or was scheduled to take place in the State of New York or Connecticut or the Commonwealth of Massachusetts, the arbitration shall take place in New York City, New York; (B) in all other cases, the arbitration shall take place in the city of San Francisco, California; and
- (iii) discovery and the procedure for the arbitration shall be as follows:
- (A) All arbitration proceedings shall be confidential;
- (B) The parties shall submit written briefs to the arbitrator no later than 15 days before the arbitration commences;
- (C) Discovery, if any, shall be limited as follows: (I) Requests for no more than 10 categories of documents, to be provided to the requesting party within 14 days of written request therefor; (II) No more than two (2) depositions per party, provided however, the deposition(s) are to be completed within one (1) day; (III) Compliance with the above shall be enforced by the arbitrator in accordance with California law;
- (D) Each party shall have no longer than eight (8) hours to present its position. The entire hearing before the arbitrator shall not take longer than three (3) consecutive days;
- (E) The award shall be made in writing no more than 30 days following the end of the proceeding. Judgment upon the award rendered by the arbitrator may be entered by any court having jurisdiction thereof.

To the fullest extent permitted by law, and except as required by applicable arbitration rules, each party shall bear its own attorneys' fees and costs in connection with the proceedings and shall share equally the fees and expenses of the arbitrator

#### LIMITED RIGHT OF RESCISSION

If within one (1) year from the date of sale, the original buyer (a) gives written notice to us alleging that the identification of Authorship (as defined below) of such lot as set forth in the BOLD TYPE heading of the catalog description of such lot (as amended by any saleroom notices or verbal announcements during the sale) is not substantially correct based on a fair reading of the catalog (including the terms of any glossary contained therein), and (b) within 10 days after such notice returns the lot to us in the same condition as at the time of sale, and (c) establishes the allegation in the notice to our satisfaction (including by providing one or more written opinions by recognized experts in the field, as we may reasonably require), then the sale of such lot will be rescinded and, unless we have already paid to the consignor monies owed him in connection with the sale, the original purchase price will be refunded.

If, prior to receiving such notice from the original buyer alleging such defect, we have paid the consignor monies owed him in connection with the sale, we shall pay the original buyer the amount of our commissions, any other sale proceeds to which we are entitled and applicable taxes received from the buyer on the sale and make demand on the consignor to pay the balance of the original purchase price to the original buyer. Should the consignor fail to pay such amount promptly, we may disclose the identity of the consignor and assign to the original buyer our rights against the consignor with respect to the lot the sale of which is sought to be rescinded. Upon such disclosure and assignment, any liability of Bonhams as consignor's agent with respect to said lot shall automatically terminate.

The foregoing limited right of rescission is available to the original buyer only and may not be assigned to or relied

### Conditions of sale - continued

upon by any subsequent transferee of the property sold. The buyer hereby accepts the benefit of the consignor's warranty of title and other representations and warranties made by the consignor for the buyer's benefit. Nothing in this section shall be construed as an admission by us of any representation of fact, express or implied, obligation or responsibility with respect to any lot. THE BUYER'S SOLE AND EXCLUSIVE REMEDY AGAINST BONHAMS FOR ANY REASON WHATSOEVER IS THE LIMITED RIGHT OF RESCISSION DESCRIBED IN THIS SECTION.

"Authorship" means only the identity of the creator, the period, culture and source or origin of the lot, as the case may be, as set forth in the BOLD TYPE heading of the print catalog entry. The right of rescission does not extend to: (a) works of art executed before 1870 (unless these works are determined to be counterfeits created since 1870), as this is a matter of current scholarly opinion which can change; (b) titles, descriptions, or other identification of offered lots, which information normally appears in lower case type below the BOLD TYPE heading identifying the Authorship; (c) Authorship of any lot where it was specifically mentioned that there exists a conflict of specialist or scholarly opinion regarding the Authorship of the lot at the time of sale; (d) Authorship of any lot which as of the date of sale was in accordance with the then generally-accepted opinion of scholars and specialists regarding the same; or (e) the identification of periods or dates of creation in catalog descriptions which may be proven inaccurate by means of scientific processes that are not generally accepted for use until after publication of the catalog in which the property is offered or that were unreasonably expensive or impractical to use at the time of such publication.

#### LIMITATION OF LIABILITY

EXCEPT AS EXPRESSLY PROVIDED ABOVE, ALL PROPERTY IS SOLD "AS IS." NEITHER BONHAMS NOR THE CONSIGNOR MAKES ANY REPRESENTATION

OR WARRANTY, EXPRESS OR IMPLIED, AS TO THE MERCHANTABILITY, FITNESS OR CONDITION OF THE PROPERTY OR AS TO THE CORRECTNESS OF DESCRIPTION, GENUINENESS, ATTRIBUTION, PROVENANCE OR PERIOD OF THE PROPERTY OR AS TO WHETHER THE BUYER ACQUIRES ANY COPYRIGHTS OR OTHER INTELLECTUAL PROPERTY RIGHTS IN LOTS SOLD OR AS TO WHETHER A WORK OF ART IS SUBJECT TO THE ARTIST'S MORAL RIGHTS OR OTHER RESIDUAL RIGHTS OF THE ARTIST. THE BUYER EXPRESSLY ACKNOWLEDGES AND AGREES THAT IN NO EVENT SHALL BONHAMS BE LIABLE FOR ANY DAMAGES INCLUDING, WITHOUT LIMITATION, ANY COMPENSATORY, INCIDENTAL OR CONSEQUENTIAL DAMAGES. IN NO EVENT SHALL THE AGGREGATE LIABILITY OF BONHAMS AND ITS CONSIGNOR TO A PURCHASER EXCEED THE PURCHASE PRICE ACTUALLY PAID FOR A DISPUTED ITEM OF PROPERTY.

## Seller's guide

#### SELLING AT AUCTION

Bonhams can help you every step of the way when you are ready to sell art, antiques and collectible items at auction. Our regional offices and representatives throughout the US are available to service all of your needs. Should you have any further questions, please visit our website at **www.bonhams.com/us** for more information or call our Client Services Department at +1 (212) 644 9001.

#### **AUCTION ESTIMATES**

The first step in the auction process is to determine the auction value of your property. Bonhams' world-renowned specialists will evaluate your special items at no charge and in complete confidence. You can obtain an auction estimate in many ways:

- Attend one of our Auction Evaluation Events held regularly at our galleries and in other major metropolitan areas. The updated schedule for Bonhams Auction Evaluation Events is available at www.bonhams.com/us.
- Call our Client Services Department to schedule a private appointment at one of our galleries. If you have a large collection, our specialists can travel, by appointment, to evaluate your property on site.
- Send clear photographs to us of each individual item, including item dimensions and other pertinent information with each picture. Photos should be sent to Bonhams' address in envelopes marked as "photo auction estimate". Alternatively, you can submit your request using our online form at www.bonhams.com/us. Digital images may be attached to the form. Please limit your images to no more than five (5) per item.

#### **CONSIGNING YOUR PROPERTY**

After you receive an estimate, you may consign your property to us for sale in the next appropriate auction. Our staff assists you throughout the process, arranging transportation of your items to our galleries (at the consignor's expense), providing a detailed inventory of your consignment, and reporting the prices realized for each lot. We provide secure storage for your property in our warehouses and all items are insured throughout the auction process. You will receive payment for your property approximately 35 days after completion of sale.

Sales commissions vary with the potential auction value of the property and the particular auction in which the property is offered. Please call us for commission rates.

#### PROFESSIONAL APPRAISAL SERVICES

Bonhams' specialists conduct insurance and fair market value appraisals for private collectors, corporations, museums, fiduciaries and government entities on a daily basis. Insurance appraisals, used for insurance purposes, reflect the cost of replacing property in today's retail market. Fair market value appraisals are used for estate, tax and family division purposes and reflect prices paid by a willing buyer to a willing seller.

When we conduct a private appraisal, our specialists will prepare a thorough inventory listing of all your appraised property by category. Valuations, complete descriptions and locations of items are included in the documentation.

Appraisal fees vary according to the nature of the collection, the amount of work involved, the travel distance, and whether the property is subsequently consigned for auction.

Our appraisers are available to help you anywhere and at any time. Please call our Client Services Department to schedule an appraisal.

#### **ESTATE SERVICES**

Since 1865, Bonhams has been serving the needs of fiduciaries – lawyers, trust officers, accountants and executors – in the disposition of large and small estates. Our services are specially designed to aid in the efficient appraisal and disposition of fine art, antiques, jewelry, and collectibles. We offer a full range of estate services, ranging from flexible financial terms to tailored accounting for heirs and their agents to world-class marketing and sales support.

For more information or to obtain a detailed Trust and Estates package, please visit our website at **www.bonhams.com/us** or contact our Client Services Department.

## Buyer's guide

#### **BIDDING & BUYING AT AUCTION**

Whether you are an experienced bidder or an enthusiastic novice, auctions provide a stimulating atmosphere unlike any other. Bonhams previews and sales are free and open to the public. As you will find in these directions, bidding and buying at auction is easy and exciting. Should you have any further questions, please visit our website at **www.bonhams.com** or call our Client Services Department at +1 (212) 644 9001.

#### Catalogs

Before each auction we publish illustrated catalogs. Our catalogs provide descriptions and estimated values for each "1ot." A lot may refer to a single item or to a group of items auctioned together. The catalogs also include the dates and the times for the previews and auctions. We offer our catalogs by subscription or by single copy. For information on subscribing to our catalogs, you may refer to the subscription form in this catalog, call our Client Services Department, or visit our website at

#### www.bonhams.com/us.

#### **Previews**

Auction previews are your chance to inspect each lot prior to the auction. We encourage you to look closely and examine each object on which you may want to bid so that you will know as much as possible about it. Except as expressly set forth in the Conditions of Sale, items are sold "as is" and with all faults; illustrations in our catalogs, website and other materials are provided for identification only. At the previews, our staff is always available to answer your questions and guide you through the auction process. Condition reports may be available upon request.

#### **Estimates**

Bonhams catalogs include low and high value estimates for each lot, exclusive of the buyer's premium and tax. The estimates are provided as an approximate guide to current market value based primarily on previous auction results for comparable pieces, and should not be interpreted as a representation or prediction of actual selling prices. They are determined well in advance of a sale and are subject to revision. Please contact us should you have any questions about value estimates.

#### Reserves

Unless indicated by the p symbol next to the lot number, which denotes no reserve, all lots in the catalog are subject to a reserve. The reserve is the minimum auction price that the consignor is willing to accept for a lot. This amount is confidential and does not exceed the low estimate value.

#### Auction House's Interest in Property Offered at Auction

On occasion, Bonhams may offer property in which it has an ownership interest in whole or in part or otherwise has an economic interest. Such property, if any, is identified in the catalog with a  $\blacktriangle$  symbol next to the lot number(s).

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\$5,000-10,000	by \$500s
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\$20,000-50,000	by \$2,000/5,000/8,000s
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Please circle your bidding	g method a	pove.				
			Sale title: African, Oceanic & Pre-Columbian Art	Sale d	ate: 11 November 2019	
Paddle number (for office use only)		<u></u>	Sale no. 25485 Sale venue: New York		enue: New York	
General Notice: This sale will with Bonhams Conditions of buying at the sale will be gov conditions. Please read the C with the Buyer's Guide relatin published notices and terms Payment by personal or busin property not being released up bank. Checks must be drawn	Sale, and your conditions of the sale relating to biness check multiple sale relations and sale relatio	ur bidding and sh terms and Sale in conjunction e and other dding.  ay result in your funds clear our	\$200 - 500by 20 / 50 / 80s \$ \$500 - 1,000by 50s \$ \$1,000 - 2,000by 100s \$ \$2,000 - 5,000by 200 / 500 / 800s	20,000 - 5 50,000 - 5 100,000 - bove \$200	20,000by 1,000s 50,000by 2,000 / 5,000 / 8,000s 100,000by 5,000s 200,000by 10,000s 0,000at the auctioneer's discretion neer has discretion to split any bid at any time	
Notice to Absentee Bidders: In the table below, please provide details of the lots on which you wish to place bids at least 24 hours prior to the sale. Bids will be rounded down		below, please	Customer Number	Title		
			First Name	Last Name		
to the nearest increment. Plea the catalog for further informa	tion relating t	to instructions to	Company name (to be invoiced if applicable)			
Bonhams to execute absented will endeavor to execute bids	on your beha		Address			
liable for any errors or non-ex-	ecuted bids.		City	County	County / State	
<b>Notice to First Time Bidder</b> provide photographic proof of	ID - passpor	t, driving license, ID	Post / Zip code	Counti	ry	
card, together with proof of accard statement etc. Corporate	e clients shou	ld also provide a	Telephone mobile	Teleph	one daytime	
copy of their articles of association documents, together with a le	tter authorizir	ng the individual to	Telephone evening	Fax		
bid on the company's behalf. Failure to provide this may result in your bids not being processed. For higher value lots you may also be asked to provide a bankers reference.		er value lots you may	Telephone bidders: indicate primary and secondary contact numbers by writing ① or ② next to the telephone number.			
Notice to online bidders; If you have forgotten your username and password for <a href="https://www.bonhams.com">www.bonhams.com</a> , please contact Client Services.  If successful			E-mail (in capitals)  By providing your email address above, you authorize Bonhams to send you marketing materials and news concerning Bonhams and partner organizations. Bonhams does not sell or trade email addresses.  I am registering to bid as a private client  I am registering to bid as a trade client			
I will collect the purchases myself Please contact me with a shipping quote (if applicable) I will arrange a third party to collect my purchase(s)		f applicable)	Resale: please enter your resale license number here We may contact you for additional information.			
Please email or fax the comprequested information to:	pleted Regis	tration Form and	SHIPPING			
Bonhams Client Services Department 580 Madison Avenue New York, New York 10022 Tel +1 (212) 644 9001 Fax +1 (212) 644 9009			Shipping Address (if different than above):  Address: Country:  City: Post/ZIPcode:			
bids.us@bonhams.com			Please note that all telephone calls are recorded	ed.		
Type of bid (A-Absentee, T-Telephone)	Lot no.	Brief description (In the event of any discrepancy, lot number and not lot description will gove If you are bidding online there is no need to complete this section.		ern.)	MAX bid in US\$ (excluding premium and applicable tax) Emergency bid for telephone bidders only	
You instruct us to execute amount indicated above.	each absen	itee bid up to the cor			e of Buyer's Premium and tax) to be executed act you by telephone or should the connection	
BY SIGNING THIS FORM AND YOU AGREE TO PA CONDITIONS OF SALE.	Y THE BUY	ER'S PREMIUM, AN'	READ AND UNDERSTAND OUR CONDITIONS OF SA Y APPLICABLE TAXES, AND ANY OTHER CHARGES I GHTS.	LE AND S	SHALL BE LEGALLY BOUND BY THEM NED IN THE BUYER'S GUIDE OR	

Date:

